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Hørsholm (DK). VOLDBORG, Bjørn [DK/DK]; c/o

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(71) Applicant (for all designated States except US): PHARMEXA A/S [DK/DK]; Kogle Allé 6, DK-2970 Hørsholm (DK).

(72) Inventors; and

(75) Inventors/Applicants (for US only): KLYSNER, Steen [DK/DK]; c/o Pharmexa A/S, Kogle Allé 6, DK-2970 Pharmexa A/S, Kogle Allé 6, DK-2970 Hørsholm (DK).

(74) Agent: KOEFOED, Peter; c/o Pharmexa A/S, Kogle Allé

6, DK-2970 Hørsholm (DK).

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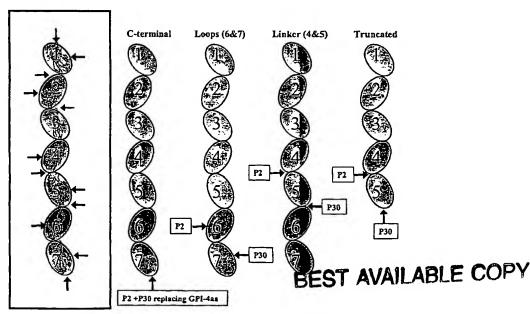
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[Continued on next page]

(54) Title: IMMUNOGENIC CEA



(57) Abstract: The present invention provides for methods for immunizing actively against autologous carcinoembryonic antigen (CEA). The method encompasses that the immune system is engaged with variant CEA which is either administered as a protein vaccine, or is effected expressed by nucleic acid vaccination or live/viral vaccination. Preferred embodiments include immunization with variants that include at least one foreign T-helper epitope introduced in the CEA sequence. Also disclosed is variant proteins DNA, vectors, and host cells useful for practising the method of the invention. DNA, vectors, and host cells useful for practising the method of the invention.







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#### IMMUNOGENIC CEA

#### FIELD OF THE INVENTION

The present invention relates to therapeutic vaccination ("active therapeutic immunotherapy") against diseases character—

5 ized by cells that express carcinoembryonic antigen, CEA. In particular, the present invention relates to the field of cancer therapy and cancer amelioration, where the cancer is characterized by cells that express CEA.

#### BACKGROUND OF THE INVENTION

- 10 Vaccination has become standard procedure for the prevention of numerous infectious diseases. The application of vaccines to other diseases, such as cancer, is now possible owing to advances in molecular engineering and a better understanding of tumour immunology. The concept of vaccines for cancer
- 15 treatment is not new and was suggested nearly 100 years ago.

  Over the years, many attempts have been made to generate effective cancer "vaccines" from mixtures of tumour cells and infectious particles (so-called Coley's toxins) without much success. During this time, studies of transplantable tumours
- in animals established the feasibility of tumour rejection through immune-mediated mechanisms. These studies suggested that tumour cells expressed unique antigens (i.e. antigens that were not found on normal cells). These antigens, under appropriate conditions, could be recognised by components of
- 25 the immune system. Further research identified many of the antigens that induced tumour rejection as normal self-proteins.

  There are many reasons why self-proteins might be recognised

by the immune system, including the presence of mutations in the coding regions of the protein, unusually high expression levels of the protein, and abnormal glycosylation of the protein. The awareness that T lymphocytes (T cells) are significant mediators of tumour rejection has focused attention on the isolation of antigens that are specifically recognised by T cells. T cells recognise antigens as smaller fragments of proteins, so-called T cell epitopes, only after their intracellular degradation and presentation on the cell surface,

where they are bound to the major histocompatibility complex. The first T-cell-specific tumour antigen was derived from malignant melanoma cells; subsequently, many other tumour antigens in a variety of tumours have been found to possess T-cell-specific epitopes.

15 CEA was one of the first tumour-associated antigens to be identified and has been well characterised. CEA is an oncofetal glycoprotein, which is found at high levels in the fetal colon and at lower levels in the normal adult colonic epithelium. CEA occurs at abnormally high levels in several benign disorders and in some malignant tumours, including those of the stomach, small intestine, colon, rectum, pancreas, liver, breast, ovary, cervix and lung. Recently, several T-cell epitopes within CEA that are recognised by human T cells have been described. Several different strategies are now using vaccination to target CEA, and clinical trials have started to yield interesting findings.

Successful vaccination against CEA could affect many individuals who have cancer and even more individuals who are at risk of developing cancer. Thus far, CEA appears to be a promising antigen for vaccine therapy.

Biology of CEA: CEA is a 180-kD glycoprotein that is present at high levels in colon epithelial cells during embryonic development. Levels of CEA are significantly lower in colon tissue of adults, but can become elevated when inflammation or 5 tumours arise in any endodermal tissue, including that in the gastrointestinal tract, respiratory tract, pancreas and breast. CEA was originally isolated from a colon carcinoma specimen in 1965. The construction of monoclonal antibodies against CEA has allowed the detection of the overexpression of 10 CEA protein in a variety of adenocarcinomas, including gastric, pancreatic, small intestine, colon, rectal, ovarian, breast, cervical and non-small-cell lung cancers. Currently, ~500 000 individuals are diagnosed with CEA-producing tumours each year in the USA alone. Epithelial cells in several non-15 malignant disorders, including diverticulitis, pancreatitis, inflammatory bowel disease, cirrhosis, hepatitis, bronchitis and renal failure and also in individuals who smoke also express CEA. This fact has made it difficult to use serum CEA determination as a sensitive method for cancer screening. How-20 ever, serum CEA levels have been useful in monitoring individuals for the recurrence of cancer.

In 1986, the gene that encodes human CEA was localised to chromosome 19, and subsequently cloned (Okinawa S. et al. 1987, Biochem. Biophys. Res. Commun. 142, 511-518). In humans, the CEA gene encodes a messenger RNA (mRNA) that is 3100 base pairs long and translates to a protein that has a molecular weight of 70 kD. The additional weight of the protein is provided by an extensive pattern of carbohydrates that are added by glycosylation enzymes, leading to a final weight of 180 kD. The structure of CEA protein includes an N-terminal sequence followed by three disulphide-linked repeats of 178 amino accids, and a hydrophobic C-terminal. This structure is similar

to that of the immunoglobulins, and has established CEA as a member of the superfamily of immunoglobulin genes. A unique feature of CEA is that it is linked via lipid into the membrane, through a glycosylphosphatidylinositol moiety, making it distinct from other members of the CEA. Several other antigens are closely related to CEA, including the non-specific cross-reacting antigen (NCA), biliary-specific glycoprotein (BGP), CEA gene family member CGM-6 and pregnancy-specific glycoproteins. Some of these represent separate species, whereas others may be splice variants of CEA. Currently, 29 separate genes have been identified as coding a CEA-related gene product, and most of these genes are located on the long arm of chromosome 19.

The function of CEA in normal colon epithelial cells and in 15 tumour cells is not entirely clear. Many members of the immunoglobulin gene family serve as recognition markers, and this might be true for CEA as well. Studies have reported that CEA localised on the cell surface of colon tumours and other cells can act as a homotypic adhesion molecule, resulting in the ag-20 gregation of CEA-expressing cells. Furthermore, although CEA is produced at low levels in normal colonic epithelial cells in adults, the pattern of localisation differs from that observed in most colon tumour cells or in the developing embryonic colon. In normal colonocytes, CEA is localised only at 25 the luminal surface of the cells, whereas in tumour cells, it is found in a disordered pattern throughout the cell membrane. Thus, current models suggest that CEA promotes the spatial orientation of colon epithelial cells to one another and to the surrounding matrix during embryonic development of the co-30 lon, and helps maintain the integrity of the luminal epithelium in the adult colon. The altered pattern of localisation in tumour cells may help to disrupt the intercellular adhesion of colonocytes, resulting in the disorganised growth and movement of malignant cells. CEA may also be involved in the enhancement of metastatic disease. Elevated levels of CEA in the serum have been shown to correlate with an increased incidence of liver metastases, and this may be due to adhesion between circulating CEA in the liver and CEA bound to metastatic tumour cells. This may explain the high incidence of hepatic metastases in those patients who have primary tumours that express CEA.

- 10 Several different lines of investigation have suggested the possibility that CEA can serve as an antigenic target for eliciting anti-cancer immune responses. Adaptive immune responses to any antigen can be broadly characterised by the production of specific antibodies (i.e. humoral immunity) or the generation of antigen-specific T cells (i.e. cellular immunity). Shortly after the discovery of CEA protein, several groups sought to determine whether individuals who had colon cancer developed anti-CEA antibodies during the course of their disease (Ura Y et al. 1985, Cancer Lett. 25, 283-295; 20 Frenoy N et al. 1987, Anticancer Res 7, 1229-1233). Some
- 20 Frenoy N et al. 1987, Anticancer Res 7, 1229-1233). Some groups did not find significantly elevated titres of such antibodies; however, others did find evidence that antibody responses to CEA occurred in some individuals. The potential for CEA to elicit T-cell responses was first suggested by the ob-
- 25 servation that individuals who had colon cancer often exhibited a delayed-type hypersensitivity (DTH) response to purified CEA protein (Orefice S et al. 1982, Tumori 68, 473-475).
  More recently, recombinant vaccinia virus expressing CEA were administered to cancer patients, and CEA-specific T cells were
- 30 subsequently cloned from these patients, demonstrating that T cells can recognise CEA (Tsang KY et al. 1995, J Natl Cancer Inst 87, 982-990). Several independent groups have now re-

ported the existence of multiple epitopes within CEA that are recognised by human T cells that bind to various HLA class I molecules (Tsang KY et al. 1995, J Natl Cancer Inst 87, 982-990; Kawashima I et al. 1999, Cancer Res 59, 431-435; Nukaya I et al. 1999, Int J Cancer 80, 92-97).

Prior srategies for CEA cancer vaccine development: Two lines of evidence have supported the use of CEA as a target for vaccine development: the high level of expression of the CEA gene in many different human tumours, and the emerging information 10 about the molecular biology and immunology of CEA. The experimental generation of monoclonal antibodies against CEA paved the way for a variety of diagnostic and therapeutic approaches for cancer therapy based on the detection and targeting of CEA. These have included the direct, in vivo use of anti-CEA 15 monoclonal antibodies, either alone or coupled to radioisotopes or cellular toxins, and also the use of anti-idiotype antibodies. Strategies that target CEA-reactive T cells have also been proposed, including the use of specific HLA-restricted peptides derived from CEA, recombinant viruses and 20 bacteria expressing CEA peptides or proteins, and the pulsing of CEA into antigen-presenting cells (APCs). Dendritic cells are the most potent type of APC. Thus, dendritic cells loaded with CEA peptides, DNA or RNA have been used to stimulate T cells (Nair SK et al. 1999, Int J Cancer 82, 121-124).

Monoclonal-antibody therapy: Monoclonal antibodies directed against CEA were initially used for diagnostic purposes, including the immunohistochemical staining of tissue specimens and the localisation of disease in vivo. The use of antibody-targeted therapeutics for cancer treatment has shown that tumour-cell lysis can be initiated by immune-mediated mechanisms. Antibodies can also be used for the direct delivery of

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cytotoxic molecules such as radionuclides, toxins or chemotherapy agents to the site of an established tumour.

The discovery that anti-CEA antibodies can be used to detect CEA-expressing tumour cells hinted that they could also be 5 used to mediate the rejection of tumour cells through immune mechanisms. When anti-CEA antibodies bind to the surface of a tumour cell, several pathways are activated, which can result in the destruction of an antibody-marked cell. The presence of bound antibody can activate the complement cascade, leading to 10 cell lysis (complement-mediated cytotoxicity). Another pathway that might be more relevant to tumour cells is the initiation of antibody-directed cellular cytotoxicity (ADCC). This cytotoxic reaction occurs when the Fc portion of an antibody binds to and triggers Fc-receptor-bearing natural killer cells to 15 release cytotoxic granules that lyse cells that are coated with the antibody. However, these anti-tumour effects depend on the presence of CEA on the surface of targeted tumour cells, and because CEA is often found in a heterogeneous pattern, it is difficult to eradicate all of the cells within a 20 tumour mass. Furthermore, the anti-CEA antibody must be able to circulate throughout the body and penetrate solid tumours. This often cannot occur owing to an inadequate blood supply to the tumour. Because many of the monoclonal antibodies that are developed for in vivo clinical use are derived from mice, 25 strong human anti-mouse antibodies (HAMA) can be induced in the patient upon repeated use of the monoclonal antibody; thus, the mouse monoclonal antibody is eliminated before it reaches the tumour.

Using another approach, anti-idiotype antibodies can be used 30 to either elicit or amplify an antigen-specific immune response. For example, immunisation with CEA protein induces the

production of Abl antibodies. The antigen-binding site of an Ab1 antibody contains a hypervariable complementarity-determining region, which is complementary to the epitope on the antigen that is bound by the antibody. This region is also 5 known as the idiotype, and can induce the production of host antibodies. Immunisation with these idiotypes generates a series of anti-idiotype antibodies, known as Ab2 antibodies, which can resemble some of the epitopes of the original antigen. Thus, Ab2 antibodies then induce the production of anti-10 anti-idiotype antibodies (Ab3 antibodies), which can specifically bind to the original antigen. The experimental in vivo use of an Ab2 antibody generated against CEA protein in mice has been described; Ab2-immunised mice were protected against challenge with lethal doses of CEA-expressing tumours (Pervin 15 S et al. 1997, Cancer Res 57, 728-734). Clinical trials of this antibody have been conducted, and most patients did develop Ab3 responses that were specific for CEA (Foon KA et al. 1995, J Clin Invest 96, 334-342). Furthermore, one of four patients tested also developed T-cell responses to CEA, although 20 no objective clinical responses were observed (Foon KA et al. 1997, Clin Cancer Res 3, 1267-1276).

Another method of using monoclonal antibodies for cancer therapy is to conjugate them to a radionuclide, which can deliver
damaging radiation to the vicinity of the tumour. The advan25 tage of this approach is that targeting a single cell expressing CEA can also lead to the death of nearby tumour cells that
are not expressing CEA, owing to a by-stander effect. A similar approach can be used to deliver chemotherapeutic drugs
that are known to be toxic to the tumour cells. This is accom30 plished by conjugating the chemotherapeutic drug to the antiCEA antibody. The administration of the conjugated antibody
results in the accumulation of toxic drug at the site of the

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tumour rather than in normal tissues. Yet another approach is the construction of genetically modified monoclonal antibodies that are fused with cellular toxins, such as ricin. All of these specialised antibodies can have a by-stander effect, 5 avoiding the problem of heterogeneous CEA expression, but because of the size of the antibody conjugates, delivery and HAMA responses are still problematic. The use of humanised monoclonal antibodies, or chimaeric antibodies that contain only the murine variable regions that interact with antigen 10 combined with human Fc portions, seems to avoid or reduce the HAMA response. However, the delivery of such antibodies to the tumour site still remains a problem. The variable region of the antibody is used to target the cells, and is contained within the Fab portion of the antibody molecule. Because only 15 the Fab fragment is necessary for antigen recognition, smaller antibody fragments containing the Fab protein can be used for targeting tumour cells and enhancing delivery to sites of tumour growth.

CEA-derived peptides: T cells appear to play a major role in
tumour rejection after vaccination. Antibodies recognise their
antigens by the three-dimensional structure of a single antigenic determinant, the so-called epitope. However, T cells
recognise antigen only after the antigen has been processed
into smaller linear peptide fragments, which are also known as
T cell epitopes. These epitopes are loaded onto specific molecules called major histocompatibility complex (MHC) proteins,
so called because they are known to mediate transplantation
rejection. MHC class I molecules are found on all nucleated
cells, and are recognised by the T-cell receptors (TCRs) of
CD8+ T cells. MHC class II molecules are mainly expressed by
APCs, and are recognised by CD4+ T cells. As will appear from
the present specification and claims, especially peptides

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binding to MHC Class I molecules would be of interest since these are necessary in order to stimulate a CTL response against a CEA bearing tumour cell.

Several experimental approaches have been used to identify CEA 5 epitopes that are presented by MHC class I molecules to CD8+ T cells. Thus far, the most commonly used approach has been the identification of a putative peptide sequence by using a computer to predict binding affinity to specific MHC class I molecules. This is now easy because the amino acid sequence of 10 the CEA protein has been determined and all nine potential amino acid sequences can be quickly modelled. The peptide groove of an MHC class I molecule normally binds short peptide fragments that comprise 8-10 amino acids, and because the three-dimensional structure of several MHC molecules is known, 15 the computer models can predict the potential peptides that would be expected to bind with high affinity (Rammensee HG et al. 1995, Immunogenetics 41, 178-228). These peptides can be synthesised and tested in vitro for their actual binding affinity for the MHC molecule and for recognition by specific 20 CTLs (Celis E et al. 1994, PNAS USA 91, 2105-2109; Hill AV et al. 1992, Nature 360, 434-439; Houbiers JG et al. 1993, Eur J Immunol 23, 2072-2077).

The above-described method was used to isolate the first HLArestricted CEA peptide, namely carcinoembryonic-antigen-asso25 ciated peptide 1 (CAP-1). CAP-1 peptide binds to the HLA-A2
complex, and has been used to generate T-cell lines (i.e. a
mixed T-cell population that responds to CEA) from cancer patients who have been vaccinated with recombinant vaccinia virus expressing CEA. A T-cell clone (i.e. a single, genetically
30 identical T-cell population that recognises CEA) derived from
one of these patients has been shown to lyse target cells that

contain CAP-1 and the HLA-A2 complex. To date, several CEA peptides that specifically bind to known HLA molecules have been identified and have elicited T-cell responses. These peptides can be used to immunise individuals who express the same 5 HLA molecule if these individuals do not tolerate the epitope.

Modified CEA peptides: CEA is a self-antigen and is generally considered to be weakly immunogenic if not non-immunogenic. The main reason for this is that CEA does not include  $T_{\rm H}$  epitopes that can induce CD4+ cells to provide the necessary helper functions to B lymphocytes and CTLs.

One method for enhancing recognition is to alter the affinity of a CEA peptide for MHC molecules or T-cell receptors by amino acid substitutions of peptide anchor residues or non-anchor residues, respectively. This strategy can be applied to any known peptide epitope and might increase the immunogenicity of self-antigens. Modifications in the anchor binding residues have resulted in higher affinity binding and better T-cell responses for several melanoma antigens. The CEA peptide CAP-1 was modified by replacing an asparagine residue (N) with an aspartic acid residue (D) at position 6 (Zaremba S et al. 1997, Cancer Res 57, 4570-4577). The resulting "agonist" peptide, designated CAP-1-6D, was recognised by T cells more efficiently than the native CAP-1. Although modified peptides can be used as therapeutic vaccines, the CAP-1-6D peptide has yet to be tested in clinical trials.

Recombinant CEA protein: MHC-class-I-restricted CEA peptides have, as mentioned above, been identified and have been shown to generate CEA-specific T-cell responses; however, such peptides can be used in a clinical setting to treat only those patients whose MHC type is analogous to that of the peptide.

Additionally, effective anti-tumour immune responses might depend on the presentation of multiple CEA epitopes through all available MHC molecules expressed in each individual. This increase in peptide diversity can be accomplished by delivering 5 the full-length protein to APCs. Sources of CEA protein include preparations from either tumour biopsy specimens and/or supernatants derived from tumour-cell lines, both of which can contain contaminants. Studies have shown that better humoral and cellular immune responses were elicited in mice by priming 10 (i.e. administering a first vaccination) with recombinant vaccinia virus containing CEA followed by boosting (i.e. administering a second vaccination) with recombinant CEA protein than by vaccination with either virus or protein alone (Bei R et al. 1994, J Immunother Emphasis Tumor Immunol 16, 275-282). 15 Also baculovirus systems have been examined. Intramuscular injection of recombinant baculovirus containing human CEA protein has been evaluated in a clinical trial involving five individuals who had metastatic breast cancer that responded to hormonal therapy. Two of the patients produced both lymphopro-20 liferative responses (i.e. T-cell stimulation and growth) to recombinant CEA protein and strong DTH responses (as revealed by a skin test) after immunisation (Conry RM et al. 1995, J. Immunother. 18, 137). In another clinical trial, patients who had colorectal carcinoma were immunised using either recombi-25 nant baculovirus containing human CEA alone or in combination with the cytokine granulocyte-macrophage colony-stimulating factor (GM-CSF). All six of the patients who received the combination treatment showed early CEA-specific T-cell proliferation after immunisation, whereas only two of the six patients 30 who were immunised with recombinant baculovirus containing CEA without GM-CSF developed an anti-CEA T-cell response after multiple vaccinations (Fagerberg J et al. 1995, J. Immunother. 18, 132). These studies provide good evidence for the use of

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recombinant CEA protein as a boost following primary viral immunisation, or for its use in combination with immune-stimulatory cytokines.

DNA vaccines: DNA vaccines consist of a bacterial plasmid that 5 contains genes (e.g. pathogens, allergens or tumour antigens) that are under the control of a strong eukaryotic promoter. The DNA is usually taken up into host cells, where the encoded antigen is produced and processed via both MHC class I and II pathways, inducing CD8+ and CD4+ T-cell responses. In contrast 10 to viral vaccines, DNA vaccines are relatively simple to produce; moreover, they do not inhibit the immunological responses (e.g. downregulate the MHC class I pathway) that are often associated with viral infections. Naked DNA (i.e. plasmid DNA in saline) has been used for vaccination; this resulted in 15 stable expression after intramuscular injection and the induction of both cellular and humoral (antibody) immune responses. The immune mechanisms involved are only partly understood. It has been suggested that nucleic acid might be taken up selectively by macrophages and/or APCs in the muscle. These acti-20 vated macrophages then migrate to draining lymph nodes, where they stimulate naive T cells.

A plasmid that encoded the full-length human CEA has been tested by injecting it intramuscularly into mice; both CEA-specific humoral and cell-mediated immune responses were induced. This DNA vaccine also protected mice from a challenge with CEA-expressing colon tumours (Conry RM et al. 1994, Cancer Res 54, 1164-1168).

Dendritic cells: Dendritic cells are the most potent APCs and
present antigen via the MHC class I and MHC class II pathways.
30 The use of dendritic cells that have been pulsed (i.e. exposed)

for a short time to high concentrations) with specific antigens has been proposed as a means of generating more-effective antigen-specific T-cell responses against CEA. In a Phase I study, patients who had advanced malignancies expressing CEA 5 were vaccinated with dendritic cells that had been pulsed with the CEA peptide CAP-1. A minor clinical response was observed for one of the patients in the study, and disease progression was stabilised in another (i.e. there was no tumour growth following vaccination). No treatment-related toxicities were 10 observed, ting the feasibility and safety of this treatment method (Morse MA et al. 1999, Clin Cancer Res 5, 1331-1338). Dendritic cells that had been pulsed with a cocktail of melanoma peptides or a tumour lysate were used to treat patients who had advanced melanoma by injecting the cells into or near 15 lymph nodes. Five patients out of 16 produced a clinical response to the vaccine, and two of the five responded completely (Nestle FO et al. 1998, Nat Med 4, 328-332).

Another approach used CEA-specific mRNA and total RNA derived from CEA-expressing tumour cells. RNA encodes multiple CEA
20 epitopes for various HLA types meaning that patients can be immunised without the need for prior identification of their HLA type or the use of HLA-specific CEA epitope(s). Moreover, RNA can be extracted from very small amounts of tumour tissue and encodes the individual array of tumour antigens for that tumour. Studies that utilised autologous dendritic cells that had been pulsed with either CEA peptides or CEA RNA to stimulate isolated T cells from carcinoma patients and healthy donors showed that a CEA-specific CTL response could be elicited in vitro (Alters SE et al. 1997, Adv Exp Med Biol 417, 51930 524; Alters SE et al. 1998, J Immunother 21, 17-26; Nair SK et al. 1999, Int J Cancer 82, 121-124). Another method of generating immunogenic vaccines is to fuse whole tumour cells di-

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rectly to dendritic cells, using an electrofusion technique.

In a pilot study involving patients who had renal cell carcinoma, the administration of a fusion vaccine composed of autologous renal cell carcinoma cells fused to allogeneic dendritic cells produced a significant clinical response in seven of the 17 treated patients, four of which showed complete responses (Kugler A et al. 2000, Nat Med 6, 332-336).

Bacterial vaccines: The delivery of DNA that encodes tumour antigens to APCs can also be accomplished using live attenu-10 ated bacteria. The advantages of using bacteria as expression vectors for foreign antigens include improved antigen presentation, because some bacteria are engulfed (taken up) by phagosomes, resulting in the presentation of inserted antigens by both MHC class I and class II pathways. Bacteria also pro-15 vide the requisite transcriptional and translational machinery for the expression of foreign genes. In bacteria, post-translational glycosylation of encoded proteins might be problematic. However, as most vaccines aim to elicit a T-cell response that is dependent on the MHC class I or class II path-20 way, the presentation of peptides should not be restricted. Another advantage of bacterial vectors is that they are sensitive to antibiotics and can be more easily controlled after administration to patients. Animal studies have shown the therapeutic effectiveness of using recombinant bacterial vac-25 cines for the treatment of model tumours; both CD4+- and CD8+antigen-specific T-cell responses were generated (Pan ZK et al. 1995, Nat Med 1, 471-477). Bacteria that are amenable to the expression of tumour antigens include Bacillus Calmette-Guerin (BCG), Salmonella typhimurium and Listeria monocyto-30 genes. Bacterial recombinants that express human tumour antigens have not yet been tested in clinical trials. Although promising, further research is needed to better characterise

the effects of bacterial vaccines as agents for cancer therapy.

Recombinant viruses: Perhaps the best-studied vaccine development method involves the use of recombinant viruses. The most characterised viral system is that of the poxviruses, particularly vaccinia virus. Recombinant vaccinia viruses can accept a large insert of foreign DNA, replicate accurately, are easily engineered, allow post-translational modification of foreign proteins (e.g. glycosylation), stimulate strong immune responses, and have been extensively used in the human population to prevent and eradicate smallpox. The methods for constructing recombinant vaccinia viruses have been well described, and several different tumour antigens have now been placed into these viruses, including CEA (Mackett M et al. 1982, PNAS USA 79, 7415-7419; Kaufman H et al. 1991, Int J Cancer 48, 900-907).

In a colon carcinoma model in mice, vaccinia virus expressing CEA was found to be effective in treating established CEA-expressing tumours, and was associated with the development of anti-CEA antibody titres and T-cell responses (Kantor J et al. 1992, J Natl Cancer Inst 84, 1084-1091). Interestingly, the vaccine was most effective in preventing the growth of CEA-bearing tumours in pre-immunised animals. The same vaccine has also been tested for safety and immunogenicity in a non-human primate model; toxicity was found to be minimal and the mon-keys produced CEA-specific T-cell responses after vaccination (Kantor J. et al. 1992, Cancer Res 52, 6917-6925).

Several clinical trials using recombinant vaccinia vaccine containing the CEA gene to treat patients who had advanced

30 CEA-expressing tumours have provided evidence that vaccination

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was safe even when high titres of virus were given; CEA-specific T-cell growth and cytotoxicity was also induced.

To circumvent the neutralising antibody responses against the vaccines that are induced in individuals previously subjected 5 to vaccinia virus vaccination, attention has now focused on the use of attenuated vaccinia viruses and non-replicating poxviruses, such as the avipoxviruses. The attenuated vaccinia strains, such as NYVAC and modified vaccinia virus Ankara (MVA), contain multiple gene deletions, which prevent the vi-10 rus from replicating in mammalian cells. Although avipoxviruses, including fowlpox and canarypox (ALVAC) virus, are pathogenic in birds, they are also unable to replicate in mammalian cells. However, they are able to elicit strong T-cell immune responses in both rodent models and humans. These T-15 cell responses have not been accompanied by the induction of strong neutralising antibodies and have allowed repeated immunisations. An ALVAC virus expressing CEA has shown therapeutic effectiveness in a CEA tumour model in mice, and has been tested in human clinical trials (Long L et al. 1999, Curr. 20 Opin. Mol. Ther. 1, 57-63; Marshall JL et al. 1999, J Clin Oncol **17**, 332-337).

The safety of viral vaccines and the ability to generate CEAspecific T-cell responses has led to several novel approaches
for improving the clinical effectiveness of the vaccines. This
includes the addition of adjuvants, such as cytokines and costimulatory molecules, to the treatment regimen, and combining
different viruses in a 'prime and boost' strategy.

Attempts to enhance known CEA cancer vaccines: Several approaches for vaccine design have been presented; however, the results from clinical trials have thus far been disappointing.

One reason might be the use of vaccines in patients who have advanced disease, because they are less likely to elicit a measurable and protective immune response. Although such individuals may be able to respond to common antigens (e.g. influenza or tetanus), their response may be locally immunosuppressed at the tumour site. Thus, patients who have advanced cancers may be less likely to respond to vaccination against a tumour-associated antigen. Several strategies could be employed to improve the ability of CEA vaccines to induce immune response.

Cytokines: IL-2 was the first cytokine to be shown to induce tumour regression in an animal model and it has been tested against a variety of human cancers, and has been shown to have therapeutic potential when administered intravenously as a single agent for metastatic melanoma and renal cell carcinoma. Because vaccines can induce T-cell responses, it seems logical that IL-2 could be used to amplify the initial response, improving the therapeutic effects of cancer vaccines. This has been confirmed experimentally in a mouse model, whereby IL-2 significantly augmented the anti-tumour responses of a vaccinia virus expressing CEA (McLaughlin JP et al. (1996, Cancer Res 56, 2361-2367).

Recombinant viral vaccines that encode both tumour antigen and cytokine genes have been constructed. These have been designed to induce the local release of cytokine at the site of T-cell activation, and should limit the systemic toxicity usually induced by the intravenous administration of high doses of IL-2.

The combination of numerous other cytokines with antigen-specific vaccines has improved the effects of tumour treatment methods. IL-12 is a cytokine that is involved in the stimula-

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tion of natural killer cells and the differentiation of naive T cells. Thus, IL-12 can be considered as an important mediator of the effector phase of cellular immunity. Other cytokines that have been evaluated for their ability to augment tumour vaccines include GM-CSF, IFN-γ, tumour necrosis factor α (TNF-α), interleukin 3 (IL-3), IL-4 and interleukin 10 (IL-10). GM-CSF has been shown to promote the growth and activation of dendritic cells, thus improving the antigen presentation 'arm' of the immune system. Irradiated tumour cells that were transduced ex vivo with the GM-CSF gene have been used as an autologous cellular vaccine and increased the anti-tumour T-cell response..

Co-stimulation of tumour-antigen-specific T cells: The activation of antigen-specific T cells, leading to cytokine production and proliferation, requires two separate signals. The first signal is delivered to the T-cell receptor upon recognition of the peptide-MHC complex. The second signal can be delivered by CD28 molecules expressed on T cells after the engagement of the B7 co-stimulatory molecule expressed by activated APCs. The importance of co-stimulation has been demonstrated by experiments that show that T cells do not respond when peptide-MHC or TCR recognition takes place in the absence of co-stimulatory molecules. Other studies have shown that tumour cells can escape detection and subsequent elimination by T cells by the downregulation of co-stimulatory molecules on the tumour cell surface, or on dendritic cells presenting the tumour antigens.

The B7 co-stimulatory molecules are homodimeric (i.e. express two identical, intertwined chains of the same protein) members of the immunoglobulin supergene family; they are found on the surface of cells that are capable of stimulating T-cell acti-

vation and proliferation. B7 molecules can bind to either CD28 or CTLA-4 on the surface of T cells. In the first instance, the ligation of CD28 (e.g. via B7 molecules or anti-CD28 monoclonal antibody) delivers an activating signal to the T cell, which induces the release of cytokines. After activation, T cells upregulate the expression of CTLA-4 on their cell surface, which also binds B7 molecules but delivers a negative signal, rendering the T cells less sensitive to further stimulation. The fate of T cells that respond to an antigenic stimulus depends on the balance between the stimulatory and inhibitory signals delivered to the T cell via these surface receptors. Likewise, T-cell activation can be enhanced by selectively stimulating CD28 or blocking CTLA-4 activity, and it can be inhibited by the reverse treatments.

Using a mouse model, a mixture of vaccinia viruses expressing CEA and B7 molecules resulted in enhanced CEA-specific CTL responses and more-effective anti-tumour activity (Hodge JW et al. 1995, Cancer Res 55, 3598-3603). This and other findings support the use of B7 molecules as a vaccine adjuvant, and suggest that this approach will be safe and might be expected to elicit more-objective clinical responses in larger clinical trials involving patients whose disease is at an earlier stage.

Interactions between CD40 and CD40 ligand (also known as CD40L or CD154) represent another co-stimulatory system that has been widely studied. The CD40 receptor is a 48-kDa protein, which is found on many cell types, especially APCs, such as B cells, dendritic cells, macrophages, monocytes, fibroblasts and endothelial cells. CD40L is a 39-kDa protein that belongs to the TNF family and is predominantly expressed on activated CD4+ T cells. Interactions between CD40 and CD40L are impor-

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tant for priming CTLs by CD4+ T cells, and might also help induce humoral immunity. To date, the potential benefits of increasing the expression of CD40L together with that of CEA to produce a novel tumour vaccine (i.e. vaccinia virus encoding 5 CD40L and CEA) have not been experimentally evaluated.

Prime and boost strategies: The generation of multiple vectors for vaccination, and the development of neutralising antibodies that prevent repetitive exposure to a single vector, has led to the use of prime and boost strategies. Such protocols prime the immune response with one vector expressing an antigen, and then boost with a different vector expressing the same antigen. Heterologous boosting of mice using first vaccinia virus and then ALVAC virus expressing CEA improved tumour responses and CTL activity against CEA, compared to those produced by vaccination with either virus alone (Hodge JW et al. 1997, Vaccine 15, 759-768) and clinical trials are beginning to suggest similar results (Cole DJ et al. 1996, Hum Gene Ther 7, 1381-1394).

Induction of T-cell help - mechanisms: Presentation of anti-20 gens has dogmatically been thought of as 2 discrete pathways, a class II exogenous and a class I endogenous pathway.

Briefly, a foreign protein from outside the cell or from the cell membrane is taken up by the APC as an endosome that fuses with an intracellular compartment containing proteolytic enzymes and MHC class II molecules. Some of the produced peptides bind to class II, which then are translocated to the cell membrane.

The class I endogenous pathway is characterised by the predominant presentation of cytosolic proteins. This is believed to occur by proteasome-mediated cleavage followed by transporta-

tion of the peptides into the endoplasmic reticulum (ER) via TAP molecules located in the membrane of the ER. In ER the peptides bind to class I followed by transportation to the plasma membrane.

- 5 However, these 2 pathways are not fully distinct. For example it is known that dendritic cells and to some extend macrophages are capable of endocytosing (pinocytosing) extracellular proteins and subsequently present them in the context of MHC class I. It has also previously been demonstrated that u-10 sing specialised administration routes, e.g. by coupling to iron oxide beads, exogenous antigens are capable of entering the Class I pathway. This mechanism seems central, because of the importance of a concomitant expression of both class I and class II on the same APC to elicit a three cell type cluster. 15 This three-cell type cluster of interaction has been proposed by Mitchison (1987) and later by other authors. They showed the importance of concomitant presentation of class I and class II epitopes on the same APC. According to the recently described mechanism for CTL activation (cf. Lanzavecchia, 20 1998, Nature 393: 413, Matzinger, 1999, Nature Med. 5: 616, Ridge et al., 1998, Nature 393: 474, Bennett et al., 1998, Nature 393: 478, Schoenberger et al., 1998, Nature 393: 480, Ossendrop et al., 1998, J. Exp. Med 187: 693, and Mackey et al., 1998, J. Immunol 161: 2094), professional APCs presenting 25 antigen on MHC class II are recognized by T helper cells. This results in an activation of the APC (mediated by interaction by CD40L on the T helper cell and CD40 on the APC). This enables the APC to directly stimulate CTLs that are thereby ac-
- 30 It has previously been demonstrated that insertion of a foreign MHC class II restricted T helper cell epitope into a

tivated. Cf. also Fig. 2 in WO 00/20027.

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self-antigen results in the provision of an antigen capable of inducing strong cross-reactive antibody responses directed against the non-modified self-antigen (cf. WO 95/05849). It was shown that the autoantibody induction is caused by spe-5 cific T cell help induced by the inserted foreign epitope.

Later, it was concluded that modified self-antigens - with the aid of appropriate adjuvants - ought to be capable of also inducing strong CTL responses against MHC class I restricted self-epitopes and hence the technology described in WO 95/05849 can be adapted to also provide vaccination against intracellular and other cell-associated antigens which have epitopes presented in the context of MHC Class I - this concept is the subject matter of WO 00/20027 which is hereby incorporated by reference herein.

15 WO 00/20027 mentions CEA as one putative vaccine target but provides no specific information concerning optimal localisation of foreign  $T_{\rm H}$  epitopes within the CEA amino acid sequence.

As will appear from the above discussion of CEA, this particular polypeptide antigen appears to be a safe target for active immunotherapy. However, there is still a definite need to improve the technology for inducing an immune response against this particular antigen.

#### OBJECT OF THE INVENTION

It is an object of the present invention to provide improved
25 methods and agents for inducing immune responses in host organisms against cells, especially malignant cells that harbour
CEA. It is a further object to provide a method for preparing
polypeptide analogues of CEA, analogues that are capable of

inducing an effective immune response against CEA and cells that harbour CEA.

# SUMMARY OF THE INVENTION

The inventors have in part based the present invention on the teachings of WO 00/20027 and WO 95/05849 that teach generally applicable methods for providing CTL and antibody responses, respectively, against autologous proteinaceous antigens.

Using the technology disclosed in WO 00/20027, the modified CEA could be presented by MHC class I as well as by MHC class II molecules on professional antigen presenting cells. Copresentation of subdominant self-epitopes on MHC class I and immunodominant foreign epitopes on MHC class II molecules will mediate a direct cytokine help from activated MHC class II restricted T-helper cells to MHC class I restricted CTLs (Fig. 2 in WO 00/20027). Further, presentation by B-cells of foreign TH epitopes present in variants of CEA will result in induction of production of anti-CEA antibodies, cf. Fig. 1 in WO 00/20027. Hence both strategies as well as their combination will lead to a specific break of the T cell autotolerance towards CEA, thus rendering possible both CTL as well as antibody induction that will specifically target CEA.

The present invention is therefore based on a careful study of the structure of native CEA in order to facilitate the designing of variants of CEA that will prove to be most efficient in breaking tolerance to this antigen. The thus obtained CEA variants are the sine qua non constituents in therapy against CEA expressing cancers, but of course all technologies discussed above in the "Background of the Invention" section can be advantageously combined with the present invention. There-

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fore, the technologies discussed in said section are all incorporated by reference herein.

In conclusion, a vaccine constructed using both of the technologies outlined above will induce a humeral autoantibody response with secondary activation of complement and antibody
dependent cellular cytotoxicity (ADCC) activity. Equally
important, it will also induce a cytotoxic T cell response directed against autologous CEA producing cells.

25

Hence, in the broadest and most general scope, the present invention relates to a method for inducing an immune response against autologous carcinoembryonic antigen (CEA) in an animal, including a human being, the method comprising effecting uptake and processing by antigen presenting cells (APCs) in the animal of at least one modified CEA polypeptide or of a nucleic acid encoding the modified CEA polypeptide or of a pharmaceutically acceptable microorganism or virus expressing the modified CEA polypeptide, said at least one modified CEA polypeptide comprising

- at least about 80 CEA-derived amino acids, either in the form of at least about 80 consecutive CEA-derived amino acids or in the form of at least about 80 amino acids constituted of uninterrupted CEA-derived CTL epitopes, and
- at least one first T helper epitope ( $T_{\mbox{\scriptsize H}}$  epitope) foreign to the animal,

thereby inducing a CTL response and/or an antibody response that targets the autologous CEA.

Furthermore, the invention relates to certain specific immunogenic constructs based on human CEA as well as to compositions containing these constructs.

Finally, the invention relates to nucleic acid fragments, vec-5 tors, transformed cells and other tools useful in molecular biological methods for the production of the analogues of CEA.

# LEGENDS TO THE FIGURE

- Fig. 1: Overview of the design strategy for the modified CEA polypeptides of the present invention.
- 10 The panel to the left shows a model of CEA with domains 1-7 numbered. The N-terminal of the molecule is at the top, the C-terminus at the bottom. Circles indicate introduction points for T<sub>B</sub> epitopes in the loops of the 7 domains. Arrows indicate introduction points in the "linkers" between the domains. Tri-angles show the C- and N-terminal introduction points. The column with heading "struct. design" indicates the optimal introduction regions/points from a strict structural viewpoint, whereas the column headed "MHC Class I corr." indicates the chosen introduction regions/points after an analysis of possible interference with CTL epitopes of CEA. Asterisks indicate that the introduction region includes a glycosylation point.
- Fig. 2: Schematic overview of 4 preferred CEA variants.

  The panel to the left shows a schematic view of the CEA domains and the 4 models schematically show the variants having the amino acid sequences set forth in SEQ ID NOs: 6, 8, 10, and 12, respectively.

#### DETAILED DISCLOSURE OF THE INVENTION

#### Definitions

In the following a number of terms used in the present specification and claims will be defined and explained in detail in order to clarify the metes and bounds of the invention.

An "autologous CEA" is in the present specification and claims intended to denote a CEA polypeptide of an animal that is going to be vaccinated against its own CEA. In other words, the term is only relevant when the relation to the animal that it going to be vaccinated is considered.

The terms "T-lymphocyte" and "T-cell" will be used interchangeably for lymphocytes of thymic origin which are responsible for various cell mediated immune responses as well as

15 for effector functions such as helper activity in the humeral
immune response. Likewise, the terms "B-lymphocyte" and "Bcell" will be used interchangeably for antibody-producing lymphocytes.

An "antigen presenting cell" (APC) is a cell which presents 20 epitopes to T-cells. Typical antigen-presenting cells are macrophages, dendritic cells and other phagocytizing and pinocytizing cells. It should be noted that B-cells also functions as APCs by presenting  $T_{\rm H}$  epitopes bound to MCH class II molecules to  $T_{\rm H}$  cells but when generally using the term APC in the 25 present specification and claims it is intended to refer to the above-mentioned phagocytizing and pinocytizing cells.

"Helper T-lymphocytes" or " $T_{\text{H}}$  cells" denotes CD4 positive T-cells which provide help to B-cells and cytotoxic T-cells via

the recognition of  $T_{\text{H}}$  epitopes bound to MHC Class II molecules on antigen presenting cells.

The term "cytotoxic T-lymphocyte" (CTL) will be used for CD8 positive T-cells which require the assistance of  $T_{\rm H}$  cells in 5 order to become activated.

A "specific" immune response is in the present context intended to denote a polyclonal immune response directed predominantly against a molecule or a group of quasi-identical molecules or, alternatively, against cells which present CTL epitopes of the molecule or the group of quasi-identical molecules.

The term "polypeptide" is in the present context intended to mean both short peptides of from 2 to 10 amino acid residues, oligopeptides of from 11 to 100 amino acid residues, and polypeptides of more than 100 amino acid residues. Furthermore, the term is also intended to include proteins, i.e. functional biomolecules comprising at least one polypeptide; when comprising at least two polypeptides, these may form complexes, be covalently linked, or may be non-covalently linked. The polypeptide(s) in a protein can be glycosylated and/or lipidated and/or comprise prosthetic groups.

The term "subsequence" means any consecutive stretch of at least 3 amino acids or, when relevant, of at least 3 nucleotides, derived directly from a naturally occurring amino acid sequence or nucleic acid sequence, respectively.

The term "animal" is in the present context in general intended to denote an animal species (preferably mammalian), such as *Homo sapiens*, *Canis domesticus*, etc. and not just one single animal. However, the term also denotes a population of

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such an animal species, since it is important that the individuals immunized according to the method of the invention all
harbour substantially the same CEA allowing for immunization
of the animals with the same immunogen(s). If, for instance,
genetic variants of CEA exist in different human populations
it may be necessary to use different immunogens in these different populations in order to be able to break the autotolerance towards the CEA in each population.

By the term "down-regulation a autologous CEA" is herein meant reduction in the living organism of the amount and/or activity of CEA. The down-regulation can be obtained by means of several mechanisms including removal of the CEA by scavenger cells (such as macrophages and other phagocytizing cells), and even more important, that cells carrying or harbouring the antigen are killed by CTLs in the animal.

The expression "...consecutive CEA-derived amino acids..." is intended to denote amino acids that can be found in the same sequential order in the primary structure of a naturally occurring CEA, nevertheless allowing for conservative substitutions that do not change the immunologic properties of such a CEA sequence.

The expression "...amino acids constituted of uninterrupted CEAderived CTL epitopes..." is meant a stretch of amino acids that
can be subdivided into shorter consecutive stretches of CEA

25 that each constitute a CTL epitope found in naturally occurring CEA. Again, the language allows for minor, insignificant
changes in the amino acid sequences of these CTL epitopes, as
long as the CTL epitopes are not rendered less immunoreactive
than their natural form.

The expression "effecting simultaneous presentation by a suitable APC" is intended to denote that the animal's immune system is subjected to an immunogenic challenge in a controlled manner which results in the simultaneous presentation by APCs of the CEA epitopes and foreign epitopes in question. As will appear from the disclosure below, such challenge of the immune system can be effected in a number of ways of which the most important are vaccination with polypeptide containing "pharmaccines" (i.e. a vaccine which is administered to treat or ameliorate ongoing disease) or nucleic acid "pharmaccine" vaccination. The important result to achieve is that immune competent cells in the animal are confronted with APCs displaying the relevant epitopes in an immunologically effective manner.

The term "immunogen" is intended to denote a substance which

15 is capable of inducing an immune response in a certain animal.

It will therefore be understood that autologous CEA is not an immunogen in the autologous host — it is necessary to use either a strong adjuvant and/or to co-present T helper epitopes with the autologous CEA in order to mount an immune response against autologous CEA and in such a case the "immunogen" is the composition of matter which is capable of breaking autotolerance.

The term "immunogenically effective amount" has its usual meaning in the art, i.e. an amount of an immunogen which is capable of inducing an immune response which significantly engages pathogenic agents which share immunological features with the immunogen.

The term "pharmaceutically acceptable" has its usual meaning in the art, i.e. it is used for a substance that can be ac
30 cepted as part of a medicament for human use when treating the

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disease in question and thus the term effectively excludes the use of highly toxic substances that would worsen rather than improve the treated subject's condition.

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When using the expression that the autologous CEA has been subjected to a "modification" is herein meant a chemical modification of the polypeptide which constitutes at least part of one of the 7 domains of autologous CEA. Such a modification can e.g. be derivatization (e.g. alkylation) of certain amino acid residues in the amino acid sequence, but as will be appreciated from the disclosure below, the preferred modifications comprise changes of the primary structure of the amino acid sequence.

When discussing "tolerance" and "autotolerance" is understood that since CEA molecules which are the targets of the present inventive method are self-proteins in the population to be vaccinated, normal individuals in the population do not mount an immune response against CEA. It cannot be excluded, though, that occasional individuals in an animal population might be able to produce antibodies against the autologous CEA, e.g. as part of a autoimmune disorder. At any rate, an animal will normally only be autotolerant towards its own CEA, but it cannot be excluded that analogues derived from other animal species or from a population having a different phenotype would also be tolerated by said animal.

25 A "foreign T-cell epitope" is a peptide which is able to bind to an MHC molecule and which stimulates T-cells in an animal species. Preferred foreign epitopes are "promiscuous" epitopes, i.e. epitopes which binds to a substantial fraction of MHC class II molecules in an animal species or population. A term which is often used interchangeably in the art is the

term "universal T-cell epitopes" for this kind of epitopes.

Only a very limited number of such promiscuous T-cell epitopes are known, and they will be discussed in detail below. It should be noted that in order for the immunogens which are

5 used according to the present invention to be effective in as large a fraction of an animal population as possible, it may be necessary to 1) insert several foreign T-cell epitopes in the same analogue or 2) prepare several analogues wherein each analogue has a different promiscuous epitope inserted. It

10 should be noted that the concept of foreign T-cell epitopes also encompasses use of cryptic T-cell epitopes, i.e. epitopes which are derived from a self-protein and which only exerts immunogenic behaviour when existing in isolated form without being part of the self-protein in question.

- 15 A "foreign T helper lymphocyte epitope" (a foreign T<sub>H</sub> epitope) is a foreign T cell epitope which binds an MHC Class II molecule and can be presented on the surface of an antigen presenting cell (APC) bound to the MHC Class II molecule. It is also important to add that the "foreignness" feature therefore has two aspects: A foreign T<sub>H</sub> epitope is 1) presented in the MHC Class II context by the animal in question and 2) the foreign epitope is not derived from the same polypeptide as the target antigen for the immunization the epitope is thus also foreign to the target antigen.
- 25 A "CTL epitope" is a peptide which is able to bind to an MHC class I molecule.

A "functional part" of a (bio)molecule is in the present context intended to mean the part of the molecule which is responsible for at least one of the biochemical or physiological effects exerted by the molecule. It is well-known in the art

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that many enzymes and other effector molecules have an active site which is responsible for the effects exerted by the molecule in question. Other parts of the molecule may serve a stabilizing or solubility enhancing purpose and can therefore be left out if these purposes are not of relevance in the context of a certain embodiment of the present invention. For instance it is possible to use certain cytokines as a modifying moiety in the modified CEA (cf. the detailed discussion below), and in such a case, the issue of stability may be irrelevant since the coupling to the modified CEA provides the stability necessary.

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The term "adjuvant" has its usual meaning in the art of vaccine technology, i.e. a substance or a composition of matter which is 1) not in itself capable of mounting a specific immune response against the immunogen of the vaccine, but which is 2) nevertheless capable of enhancing the immune response against the immunogen. Or, in other words, vaccination with the adjuvant alone does not provide an immune response against the immunogen, vaccination with the immunogen may or may not give rise to an immune response against the immunogen, but the combined vaccination with immunogen and adjuvant induces an immune response against the immunogen which is stronger than that induced by the immunogen alone.

"Targeting" of a molecule is in the present context intended

25 to denote the situation where a molecule upon introduction in
the animal will appear preferentially in certain tissue(s) or
will be preferentially associated with certain cells or cell
types. The effect can be accomplished in a number of ways including formulation of the molecule in composition facilita
30 ting targeting or by introduction in the molecule of groups

which facilitates targeting. These issues will be discussed in detail below.

"Stimulation of the immune system" means that a substance or composition of matter exhibits a general, non-specific immunostimulatory effect. A number of adjuvants and putative adjuvants (such as certain cytokines) share the ability to stimulate the immune system. The result of using an immunostimulating agent is an increased "alertness" of the immune system meaning that simultaneous or subsequent immunization with an immunogen induces a significantly more effective immune response compared to isolated use of the immunogen

# Preferred embodiments

The present invention targets CEA via Active Specific Immunotherapy — in essence this can be achieved by stimulating ei—
ther or both of the two arms of the immune system. Since CEA
is a membrane bound protein that is exposed mostly to the extracellular phase and thereby open for antibody interaction,
it is expedient to raise an antibody response against CEA,
i.e. a humoral response. On the other hand, as discussed
above, cell-mediated immunity (e.g. a CTL response) against
CEA-bearing cells should also be a feasible means of attacking
this particular antigen and diseases related thereto.

According to the invention, it is therefore possible to 1) use an immunogen that induces CTLs reactive with CEA as well as antibodies reactive with CEA, 2) use an immunogen that only induces CTLs reactive with CEA, 3) use an immunogen that only induces antibodies reactive with CEA, or use a combination of 2 and 3 (which both can be combined with 1).

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From a practical viewpoint, it is interesting to include a large fraction of CEA epitopes. So, even though it is believed to be sufficient to include down to about 80 CEA-derived amino acids, longer parts of CEA are preferred: at least about 100, 5 120, 140, 160, 180, 200, 220, 250, 300, 350, 400, 450, 500, 550, 600, 650, 700, thus even up to about the full sequence of CEA. However, since the molecule consists of domains (each having a length of about 100 amino acids) it is especially preferred to substantially include the amino acid sequence of 10 at least one domain, such as at least 2, 3, 4, 5, 6 or even all 7 domains of CEA (still allowing for the minor variations discussed above). The domains of CEA are consecutively numbered from the N-terminus of the molecule. To "substantially include" means in this context that the amino acid sequence of 15 such a domain may be subjected to minor variations in the form of conservative substitutions that will not significantly alter the immunologic profile of such a domain. The term also means, that in the event a foreign  $T_{\text{H}}$  epitope is introduced according to the invention in a domain region, this is done in a 20 manner that will not significantly interfer with the 3-dimensional structure of the domain region (this can e.g. be achieved by introducing the epitope in the loop in one or more of the domains, cf. below. It is important to note that if it is desired to merely induce CTL responses, the requirement of 25 preservation of 3-dimensional structure of one or more domains is irrelevant.

In order to induce a CTL response against a cell which presents epitopes derived from the autologous CEA on its surface, it is normally necessary that at least one CTL epitope, when presented, is associated with an MHC Class I molecule on the surface of the APC. Furthermore it is preferred that the at

least one first foreign  $T_{\text{H}}$  epitope, when presented, is associated with an MHC Class II molecule on the surface of the APC.

Preferred APCs presenting the CTL epitopes are dendritic cells and macrophages, but any pino- or phagocytizing APC which is capable of simultaneously presenting 1) CTL epitopes bound to MHC class I molecules and 2)  $T_{\rm H}$  epitopes bound to MHC class II molecules, is a preferred APC according to the invention.

Normally, it will be advantageous to confront the immune system with a large fraction of the amino acid sequence of the autologous CEA - this will ensure that a large number of CTL epitopes and/or B-cell epitopes will be present in the agent that is taken up by the APC. Hence, in a preferred embodiment, the modified CEA polypeptide is in the form of at least one first analogue of the autologous CEA, said first analogue containing autologous CEA-derived CTL epitope(s), and the at least first foreign TH epitope.

In order to maximize the chances of mounting an effective immune response, it is preferred that the above-mentioned first analogue contains a substantial fraction of known and pre
20 dicted CTL epitopes of autologous CEA, i.e. a fraction of the known and predicted CTL epitopes which binds a sufficient fraction of MHC Class I molecules in a population. For instance, it is preferred that the substantial fraction of known and predicted CTL epitopes in the amino acid sequence of the analogue are recognized by at least 50% of the MHC-I haplotypes recognizing all known and predicted CTL epitopes in the autologous CEA, but higher percentages are preferred, such as at least 60, at least 70, at least 80, and at least 90%. Especially preferred is the use of analogues, which preserve substantially all known CTL epitopes of the autologous CEA are

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present in the analogue, i.e. close to 100% of the known CTL epitopes. Accordingly, it is also especially preferred that substantially all predicted CTL epitopes of the autologous CEA are present in the at least first analogue.

5 The above-indicated approach renders possible the mounting of a CTL response against all parts of cell-associated CEA, including the membrane-anchoring region and also regions of CEA that are in close proximity to the cell membrane and therefore may be shielded by other cellular molecules, thereby making these regions less effective as targets for humoral immune responses.

Methods for predicting the presence of CTL epitopes are well-known in the art, cf. e.g. Rothbard et al. EMBO J. 7:93-100 (1988) and Rammensee HG et al. 1995, Immunogenetics 41, 178-228. Furthermore, a number of CTL epitopes have already been identified in CEA.

As will be apparent from the present specification and claims it is expected that the inventive method described herein will render possible the effective induction of CTL responses

20 against autologous CEA.

Since CEA is a membrane-associated antigen, it is according to the present invention also advantageous to induce an antibody response while at the same time inducing CTL mediated immunity, cf above. However, when raising a humeral immune response against autologous CEA it is preferred to substantially restrict the antibody response to interaction with the parts of the antigen that are normally exposed to possible interaction with antibodies (i.e. those regions being relatively remote from the cell membrane). Otherwise the result could possibly be the induction of an antibody response against parts

of the antigen which is not normally engaging the humeral immune system, and this will in turn increase the risk of inducing cross-reactivity with antigens not related to any pathology - even though this is not regarded a serious risk, the 5 size of CEA renders truncated forms of the molecule likely candidates for a vaccine. One elegant way of obtaining this restriction is to perform nucleic acid vaccination with an analogue of autologous CEA, where the extracellular part thereof is either unaltered or includes a  $T_{\text{H}}$  epitope which does 10 not substantially alter the 3D structure of the relevant extracellular part of the antigen. As one possible alternative, immunization can be performed with both a CTL directed immunogen and a B-cell directed immunogen where the B-cell directed immunogen is substantially incapable of effecting immunization 15 against the cell-proximal part part of the target antigen (the B-cell directed immunogen could e.g. include only the first 3 domains of CEA - domains 2, 4 and 6 share a very high homology and the same is true fo for domain 3, 5 and 7 and the inclusion of the first 3 domains therefore ought to provide the de-20 sired variability with respect to B-cell epitopes in the immunogen).

Induction of antibody responses can be achieved in a number of ways known to the person skilled in the art. For instance, the at least one first analogue may comprise at least one CEA B
25 cell epitope, so that immunization of the animal with the first analogue also induces production of antibodies in the animal against the autologous CEA - this type of analogue is as mentioned above very well suited for nucleic acid vaccination. Alternatively, the method of the invention can involve effecting presentation to the animal's immune system of an immunogenically effective amount of at least one second analogue (which shares the generic definition of a "modified CEA poly-

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peptide" used herein). A convenient way to achieve that the second analogue has the desired antibody-inducing effect is to include at least one second foreign  $T_H$  epitope in the second analogue, i.e. a strategy like the one used for the first ana- logue. This at least one second  $T_H$  epitope may be the same or different from the at least one first  $T_H$  epitope.

In the cases where it is desired to also mount an effective humoral immune response, it is advantageous that the first and/or second analogue(s) comprise(s) a substantial fraction of the B-cell epitopes of autologous CEA, especially a substantial fraction of such B-cell epitopes which are exposed to antibody interaction in the naturally occurring form of autologous, membrane bound CEA, cf. the above discussion of the region including domains 1-3.

15 The above-discussed variations and modifications of the autologous CEA can take different forms. It is preferred that the variation and/or modification involves amino acid substitution and/or deletion and/or insertion and/or addition. These fundamental operations relating to the manipulation of an 20 amino acid sequence are intended to cover both single-amino acid changes as well as operations involving stretches of amino acids (i.a. shuffling of amino acid stretches within the polypeptide antigen; this is especially interesting when only aiming at inducing CTLs, since only considerations concerning 25 preservation of CTL epitopes are relevant). It will be understood, that the introduction of even as little as one single amino acid insertion or deletion may give rise to the emergence of a foreign  $T_{\mathtt{H}}$  epitope in the sequence of the analogue, i.e. the emergence of an MHC Class II molecule binding se-30 quence. However, in most situations it is preferable (and even

necessary) to introduce a known foreign  $T_{\text{H}}$  epitope, and such an

operation will require amino acid substitution and/or insertion (or sometimes addition in the form of either conjugation to a carrier protein or provision of a fusion polypeptide by means of molecular biology methods). It is preferred that the 5 number of amino acid insertions, deletions, substitutions or additions is at least 2, such as 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, and 25 insertions, substitutions, additions or deletions. It is furthermore preferred that the number of amino acid substitutions is not in excess 10 of 150, such as at most 100, at most 90, at most 80, and at most 70. It is especially preferred that the number of substitutions, insertions, deletions, or additions does not exceed 60, and in particular the number should not exceed 50 or even 40. Most preferred is a number of not more than 36 (correspon-15 ding to the number of the total number of amino acids in the P2+P30 epitopes).

The invention requires modification of CEA by introducing at least one foreign immunodominant  $T_{\text{H}}$  epitope. It will be understood that the question of immune dominance of a T-cell epi-20 tope depends on the animal species in question. As used herein, the term "immunodominance" simply refers to epitopes which in the vaccinated individual/population gives rise to a significant immune response, but it is a well-known fact that a T-cell epitope which is immunodominant in one individual is 25 not necessarily immunodominant in another individual of the same species, even though it may be capable of binding MHC-II molecules in the latter individual. True immune dominant  $T_{\rm H}$ epitopes are those which, independent of the polypeptide wherein they form a subsequence, give rise to activation of  $T_{\mbox{\scriptsize H}}$ 30 cells - in other words, some  $T_{\text{H}}$  epitopes have, as an intrinsic feature, the characteristic of substantially never being cryptic since they are substantially always processed by APCs and

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presented in the context of an MHC II molecule on the surface of the APC.

Another important point is the issue of MHC restriction of T-cell epitopes. In general, naturally occurring T-cell epitopes

are MHC restricted, i.e. a certain peptides constituting a T-cell epitope will only bind effectively to a subset of MHC Class II molecules. This in turn has the effect that in most cases the use of one specific T-cell epitope will result in a vaccine component which is only effective in a fraction of the population, and depending on the size of that fraction, it can be necessary to include more TH epitopes in the same molecule, or alternatively prepare a multi-component vaccine wherein the components are variants of the antigen, which are distinguished from each other by the nature of the T-cell epitope introduced.

If the MHC restriction of the T-cells used is completely unknown (for instance in a situation where the vaccinated animal has a poorly defined MHC composition), the fraction of the animal population covered by a specific vaccine composition 20 can be determined by means of the following formula:

$$f_{population} = 1 - \prod_{i=1}^{n} (1 - \mathbf{p}_i) \tag{II}$$

-where p<sub>i</sub> is the frequency in the population of responders to the i<sup>th</sup> foreign T-cell epitope present in the vaccine composition, and n is the total number of foreign T-cell epitopes in the vaccine composition. Thus, a vaccine composition containing 3 foreign T-cell epitopes having response frequencies in the population of 0.8, 0.7, and 0.6, respectively, would give

$$1 - 0.2 \times 0.3 \times 0.4 = 0.976$$

-i.e. 97.6 percent of the population will statistically mount an MHC-II mediated response to the vaccine.

The above formula does not apply in situations where a more or less precise MHC restriction pattern of the peptides used is known. If, for instance a certain peptide only binds the human MHC-II molecules encoded by HLA-DR alleles DR1, DR3, DR5, and DR7, then the use of this peptide together with another peptide which binds the remaining MHC-II molecules encoded by HLA-DR alleles will accomplish 100% coverage in the population in question. Likewise, if the second peptide only binds DR3 and DR5, the addition of this peptide will not increase the coverage at all. If one bases the calculation of population response purely on MHC restriction of T-cell epitopes in the vaccine, the fraction of the population covered by a specific vaccine composition can be determined by means of the following formula:

$$f_{population} = 1 - \prod_{j=1}^{3} (1 - \varphi_j)^2$$
 (III)

-wherein  $\phi_j$  is the sum of frequencies in the population of allelic haplotypes encoding MHC molecules which bind any one of the T-cell epitopes in the vaccine and which belong to the  $j^{\text{th}}$  of the 3 known HLA loci (DP, DR and DQ); in practice, it is first determined which MHC molecules will recognize each T-cell epitope in the vaccine and thereafter these MHC molecules are listed by type (DP, DR and DQ) - then, the individual frequencies of the different listed allelic haplotypes are summed for each type, thereby yielding  $\phi_1$ ,  $\phi_2$ , and  $\phi_3$ .

It may occur that the value  $p_i$  in formula II exceeds the corresponding theoretical value  $\pi_i$ :

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$$\pi_i = 1 - \prod_{j=1}^{3} (1 - \nu_j)^2 \tag{IV}$$

-wherein  $v_j$  is the sum of frequencies in the population of allelic haplotypes encoding MHC molecules which bind the  $i^{\text{th}}$  T-cell epitope in the vaccine and which belong to the  $j^{\text{th}}$  of the 3 known HLA loci (DP, DR and DQ). This means that in  $1-\pi_i$  of the population there is a frequency of responders of  $f_{\text{residual}\_i} = (p_i - \pi_i)/(1-\pi_i)$ . Therefore, formula III can be adjusted so as to yield formula V:

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$$f_{population} = 1 - \prod_{j=1}^{3} (1 - \varphi_j)^2 + \left(1 - \prod_{i=1}^{n} (1 - f_{residual_i})\right)$$
 (V)

10 -where the term  $1-f_{residual\_i}$  is set to zero if negative. It should be noted that formula V requires that all epitopes have been haplotype mapped against identical sets of haplotypes.

Therefore, when selecting T-cell epitopes to be introduced in the modified CEA polypeptide, it is important to include all knowledge of the epitopes which is available: 1) The frequency of responders in the population to each epitope, 2) MHC restriction data, and 3) frequency in the population of the relevant haplotypes.

There exist a number of naturally occurring "promiscuous" T
20 cell epitopes which are active in a large proportion of individuals of an animal species or an animal population and these
are preferably introduced in the vaccine thereby reducing the
need for a very large number of different modified CEAs in the
same vaccine.

25 The promiscuous epitope can according to the invention be a naturally occurring human T-cell epitope such as epitopes from tetanus toxoid (e.g. the P2 and P30 epitopes, cf. SEQ ID NOs:

13 and 14, respectively), diphtheria toxoid, Influenza virus hemagluttinin (HA), and P. falciparum CS antigen.

Over the years a number of other promiscuous T-cell epitopes have been identified. Especially peptides capable of binding a 5 large proportion of HLA-DR molecules encoded by the different HLA-DR alleles have been identified and these are all possible T-cell epitopes to be introduced in modified CEA used according to the present invention. Cf. also the epitopes discussed in the following references which are hereby all incorporated 10 by reference herein: WO 98/23635 (Frazer IH et al., assigned to The University of Queensland); Southwood S et. al, 1998, J. Immunol. 160: 3363-3373; Sinigaglia F et al., 1988, Nature 336: 778-780; Rammensee HG et al., 1995, Immunogenetics 41: 4 178-228; Chicz RM et al., 1993, J. Exp. Med 178: 27-47; Hammer 15 J et al., 1993, Cell 74: 197-203; and Falk K et al., 1994, Immunogenetics 39: 230-242. The latter reference also deals with HLA-DQ and -DP ligands. All epitopes listed in these 5 references are relevant as candidate natural epitopes to be used in the present invention, as are epitopes which share common 20 motifs with these.

Alternatively, the epitope can be any artificial T-cell epitope which is capable of binding a large proportion of haplotypes. In this context the pan DR epitope peptides ("PADRE") described in WO 95/07707 and in the corresponding paper Alex-25 ander J et al., 1994, Immunity 1: 751-761 (both disclosures are incorporated by reference herein) are interesting candidates for epitopes to be used according to the present invention. It should be noted that the most effective PADRE peptides disclosed in these papers carry D-amino acids in the C-30 and N-termini in order to improve stability when administered. However, the present invention primarily aims at incorporating

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the relevant epitopes as part of the modified CEA which should then subsequently be broken down enzymatically inside the lysosomal compartment of APCs to allow subsequent presentation in the context of an MHC-II molecule and therefore it is not expedient to incorporate D-amino acids in the epitopes used in the present invention.

One especially preferred PADRE peptide is the one having the amino acid sequence AKFVAAWTLKAAA (SEQ ID NO: 15) or an immunologically effective subsequence thereof. This, and other epitopes having the same lack of MHC restriction are preferred T-cell epitopes which should be present in the modified CEA used in the inventive method. Such super-promiscuous epitopes will allow for the most simple embodiments of the invention wherein only one single modified CEA is presented to the vactinated animal's immune system.

The nature of the above-discussed variation/modification preferably also comprises that

- at least one first moiety is included in the modified CEA, said first moiety effecting targeting of the modified CEA to an antigen presenting cell (APC), and/or

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- at least one second moiety is included in the modified CEA, said second moiety stimulating the immune system, and/or
- at least one third moiety is included in the modified

  CEA, said third moiety optimising presentation thereof to the immune system.

The functional and structural features relating these first, second and third moieties will be discussed in the following,

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but also the general description of such moieties given in the "Background of the Invention" section above applies here:

They can be present in the form of side groups attached covalently or non-covalently to suitable chemical groups in the amino acid sequence of the autologous CEA or a subsequence thereof. This is to mean that stretches of amino acid residues derived from the autologous CEA are derivatized without altering the primary amino acid sequence, or at least without introducing changes in the peptide bonds between the individual amino acids in the chain.

The moieties can also be in the form of fusion partners to the amino acid sequence derived from the autologous CEA. In this connection it should be mentioned that both possibilities include the option of conjugating the amino acid sequence to a carrier, cf. the discussion of these below. In other words, in the present context the term "fusion protein is not merely restricted to a fusion construct prepared by means of expression of a DNA fragment encoding the construct but also to a conjugate between two proteins which are joined by means of a peptide bond in a subsequent chemical reaction.

As mentioned above, the modified CEA can also include the introduction of a first moiety which targets the modified CEA to an APC or a B-lymphocyte. For instance, the first moiety can be a specific binding partner for a B-lymphocyte specific surface antigen or for an APC specific surface antigen. Many such specific surface antigens are known in the art. For instance, the moiety can be a carbohydrate for which there is a receptor on the B-lymphocyte or the APC (e.g. mannan or mannose). Alternatively, the second moiety can be a hapten. Also an antibody fragment which specifically recognizes a surface molecule

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on APCs or lymphocytes can be used as a first moiety (the surface molecule can e.g. be an FC receptor of macrophages and monocytes, such as FCYRI or, alternatively any other specific surface marker such as CD40 or CTLA-4). It should be noted 5 that all these exemplary targeting molecules can be used as part of an adjuvant, cf. below. CD40 ligand, antibodies against CD40, or variants thereof which bind CD40 will target the modified CEA to dendritic cells. At the same time, recent results have shown that the interaction with the CD40 molecule 10 renders the  $T_{\text{H}}$  cells unessential for obtaining a CTL response. Hence, it is contemplated that the general use of CD40 binding molecules as the first moiety (or as adjuvants, cf. below) will enhance the CTL response considerably; in fact, the use of such CD40 binding molecules as adjuvants and "first moie-15 ties" in the meaning of the present invention is believed to be inventive in its own right.

As an alternative or supplement to targeting the modified CEA to a certain cell type in order to achieve an enhanced immune response, it is possible to increase the level of responsive
20 ness of the immune system by including the above-mentioned second moiety, which stimulates the immune system. Typical examples of such second moieties are cytokines, heat-shock proteins, and hormones, as well as effective parts thereof.

Suitable cytokines to be used according to the invention are

25 those which will normally also function as adjuvants in a vaccine composition, e.g. interferon γ (IFN-γ), Flt3 ligand
(Flt3L), interleukin 1 (IL-1), interleukin 2 (IL-2), interleukin 4 (IL-4), interleukin 6 (IL-6), interleukin 12 (IL-12),
interleukin 13 (IL-13), interleukin 15 (IL-15), and granulo30 cyte-macrophage colony stimulating factor (GM-CSF); alternatively, the functional part of the cytokine molecule may suf-

fice as the second moiety. With respect to the use of such cytokines as adjuvant substances, cf. the discussion below.

Alternatively, the second moiety can be a toxin, such as listeriolycin (LLO), lipid A and heat-labile enterotoxin. Also, a number of mycobacterial derivatives such as MDP (muramyl dipeptide), CFA (complete Freund's adjuvant) and the trehalose diesters TDM and TDE are interesting possibilities.

According to the invention, suitable heat shock proteins used as the second moiety can be HSP70, HSP90, HSC70 (a heat shock cognate), GRP94, and calreticulin (CRT).

Also the possibility of introducing a third moiety that enhances the presentation of the modified CEA to the immune system is an important embodiment of the invention. The art has shown several examples of this principle. For instance, it is 15 known that the palmitoyl lipidation anchor in the Borrelia burgdorferi protein OspA can be utilised so as to provide self-adjuvating polypeptides (cf. e.g. WO 96/40718). It seems that the lipidated proteins form up micelle-like structures with a core consisting of the lipidation anchor parts of the 20 polypeptides and the remaining parts of the molecule protruding therefrom, resulting in multiple presentations of the antigenic determinants. Hence, the use of this and related approaches using different lipidation anchors (e.g. a myristyl group, a farnesyl group, a geranyl-geranyl group, a GPI-an-25 chor, and an N-acyl diglyceride group) are preferred embodiments of the invention, especially since the provision of such a lipidation anchor in a recombinantly produced protein is fairly straightforward and merely requires use of e.g. a naturally occurring signal sequence as a fusion partner for the 30 modified CEA, cf. below. Another possibility is use of the C3d

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fragment of complement factor C3 or C3 itself (cf. Dempsey et al., 1996, Science 271, 348-350 and Lou & Kohler, 1998, Nature Biotechnology 16, 458-462).

In the present context it is of high importance to note that

5 CEA naturally has a GPI anchor, meaning that by preserving this in the modified molecule, the self-adjuvating effect would be a possibility. The preservation can e.g. be obtained by preserving the natural C-terminal signal sequence from the native CEA encoding sequence (the C-terminal signal sequence spans amino acids 648 through 668 in SEQ ID NO: 2, meaning that the mature CEA spans amino acids 1-647 in SEQ ID NOs: 2 and 4). By including this known signal sequence also in constructs that do not include the C-terminus of CEA, it will be achieved that the resulting expression product is anchored to the membrane.

However, it is known that expression of membrane bound proteins may be at a lower level than that of a secreted protein, so also modified versions of CEA that lack the GPI anchor are embraced by the present invention.

- 20 It is important to note that when attempting to use the method of the invention against epitopes of the extracellularly exposed parts of CEA, it is most preferred that the modified CEA substantially preserves the 3-dimensional structure of one or more domains of CEA. Thus, in the present specification and
- 25 claims this is intended to mean that the 3-dimensional structure of the part of CEA which is extracellularly exposed is preserved, since, as mentioned above, part of CEA are not expected to effectively engage the humeral immune system. It is in this context preferred that the 3D structures of at least 4 domains are substantially preserved, especially domains 1-4.

In a particularly preferred embodiment, the 3D structures of all 7 domains are substantially preserved.

For the purposes of the present invention, it is however sufficient if the variation/modification (be it an insertion, addition, deletion or substitution) gives rise to a foreign T-cell epitope and at the same time preserves a substantial number of the CTL epitopes in CEA (and sometimes also a substantial number of B-cell epitopes).

The following formula describes the constructs generally co-10 vered by the invention:

$$(MOD_1)_{s1}(CEA_{e1})_{n1}(MOD_2)_{s2}(CEA_{e2})_{n2}...(MOD_x)_{sx}(CEA_{ex})_{nx}$$
 (I)

-where CEA<sub>el</sub>-CEA<sub>ex</sub> are x CTL and/or B-Cell epitope containing subsequences of the autologous CEA which independently are identical or non-identical and which may contain or not con15 tain foreign side groups, x is an integer ≥ 3, n1-nx are x integers ≥ 0 (at least one is ≥ 1), MOD₁-MOD₂ are x modifications introduced between the preserved epitopes, and s1-sx are x integers ≥ 0 (at least one is ≥ 1 if no side groups are introduced in the sequences). Thus, given the general functional restraints on the immunogenicity of the constructs as well as the requirements set forth above, the invention allows for all kinds of permutations of the original constant CEA sequence, and all kinds of modifications therein. Thus, included in the invention are modified CEA obtained by omission of parts of the autologous CEA sequence.

It is furthermore preferred that the variation and/or modification includes duplication, when applicable, of the at least one B-cell epitope, or of at least one CTL epitope of the

autologous CEA. This strategy will give the result that multiple copies of preferred epitopic regions are presented to the immune system and thus maximizing the probability of an effective immune response. Hence, this embodiment of the invention utilises multiple presentations of epitopes derived from the autologous CEA (i.e. formula I wherein at least one B-cell epitope is present in two positions).

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This effect can be achieved in various ways, e.g. by simply preparing fusion polypeptides comprising the structure  $(CEA_e)_m$ , 10 where m is an integer  $\geq$  2 and  $CEA_e$  is a region of constant CEA heavy or light chain containing at least one CTL or B-cell epitope and then introduce the modifications discussed herein in at least one of the epitope containing sequences.

An alternative embodiment of the invention which also results

in the preferred presentation of multiple (e.g. at least 2)

copies of the important epitopic regions of the autologous CEA

to the immune system is the covalent coupling of the autologous CEA, subsequence or variants thereof to certain molecules. For instance, polymers can be used, e.g. carbohydrates

20 such as dextran, cf. e.g. Lees A et al., 1994, Vaccine 12:

1160-1166; Lees A et al., 1990, J Immunol. 145: 3594-3600, but also mannose and mannan are useful alternatives. Integral membrane proteins from e.g. E. coli and other bacteria are also useful conjugation partners. The traditional carrier molecules

25 such as keyhole limpet hemocyanin (KLH), tetanus toxoid, diphtheria toxoid, and bovine serum albumin (BSA) are also preferred and useful conjugation partners.

Preservation of the sometimes advantageous substantial fraction of B-cell epitopes or even the 3D structure of autologous 30 CEA (or domains thereof) which is subjected to modification as described herein can be confirmed in several ways. One is simply to prepare a polyclonal antiserum directed against the autologous CEA (e.g. an antiserum prepared in a rabbit or another suitable animal) and thereafter use this antiserum as a test reagent (e.g. in a competitive ELISA) against the modified proteins which are produced. Modified versions (analogues) which react to the same extent with the antiserum as does the autologous CEA must be regarded as having the same 3D structure as the autologous CEA whereas modified CEA exhibiting a limited (but still significant and specific) reactivity with such an antiserum is regarded as having maintained a substantial fraction of the original B-cell epitopes.

Alternatively, a selection of monoclonal antibodies reactive with distinct epitopes on the autologous CEA can be prepared and used as a test panel. This approach has the advantage of allowing 1) an epitope mapping of the autologous CEA and 2) a mapping of the epitopes which are maintained in the modified CEA prepared.

Of course, a third approach would be resolve the 3-dimensional structure of the autologous CEA or of a biologically active truncate thereof (cf. above) and compare this to the resolved three-dimensional structure of the modified CEA prepared.

Three-dimensional structure can be resolved by the aid of X-ray diffraction studies and NMR-spectroscopy. Further information relating to the tertiary structure can to some extent be obtained from circular dichroism studies which have the advantage of merely requiring the polypeptide in pure form (whereas X-ray diffraction requires the provision of crystallized polypeptide and NMR requires the provision of isotopic variants of the polypeptide) in order to provide useful information about the tertiary structure of a given molecule. However, ulti-

mately X-ray diffraction and/or NMR are necessary to obtain conclusive data since circular dichroism can only provide indirect evidence of correct 3-dimensional structure via information of secondary structure elements.

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5 The present invention relies on the identification of especially well-suited regions for introduction of the foreign element that must provide for the necessary  $T_H$  epitopes. Especially preferred regions are flexible loop regions (which do not contribute directly to tertiary structure) as well as flexible linker regions and N or C termini. Alternatively, the introduction of the  $T_H$  epitope can be made in a region that has a secondary structure that has a high degree of similarity with the secondary structure of the epitope (an  $\alpha$ -helical region may be substituted with an  $\alpha$ -helical epitope, a  $\beta$ -sheet region may be substituted with a  $\beta$ -sheet containing epitope etc).

Especially preferred modified CEA polypeptides useful in the present invention are selected from the group consisting of those where the  $T_{\rm H}$  epitope is introduced: in the C-terminus (some variants will delete the GPI anchor as a consequence of the omission of the native signal sequence); in the loop structures in any one of domains 1-7 as shown in Fig. 1; and between any two adjacent domains in CEA.

It is important to note that when a CEA construct is prepared

25 by amino acid substitution with a foreign epitope, the introduction is supposed to influence minimally on the epitopes in
the relevant CEA fragment. Hence, normally a substitution will
only result in a CEA variant where the deleted CEA amino acids
constitute 30% or less of the relevant CEA (sub) sequence, and

30 under normal circumstances this number will be much lower such

as at most 20%, at most 15%, at most 10%, and at most 7.5%. Being a large molecule, the number can be even lower, such as at most 5%, at most 4% and even as little as at most 3% or at most 2%.

5 Especially preferred modified CEA polypeptides will contain introduction of the foreign  $T_{\rm H}$  epitope as an addition to the Cor N-terminus of mature CEA (i.e. before amino acid 35 or after amino acid 681); as an insertion before any one of CEA amino acids 1, 38, 39, 40, 41, 42, 111, 148, 149, 150, 151, 10 203, 326, 327, 328, 329, 381, 418, 419, 420, 421, 464, 504, 505, 506, 507, 559, 596, 597, 598, and 643; as a substitution that includes deletion of any one or all of amino acids 38, 39, 40, and 41; as a substitution that includes deletion of any or all of amino acids 148, 149, and 150; as a substitution 15 that includes deletion of any one or all of amino acids 326, 327, and 328; as a substitution that includes deletion of any or all of amino acids 418, 419, and 420; as a substitution that includes deletion of any or all of amino acids 504, 505, and 506; and as a substitution that includes deletion of any 20 one or all of amino acids 596 and 597. All amino acid numbering corresponds to that of SEQ ID NO: 2.

Particularly preferred modified CEA polypeptides are set forth in the sequence listing in SEQ ID NOs: 6, 8, 10, and 12, and are also shown schematically in Fig. 2 - all these constructs are made without the native CEA signal sequence and are therefore not membrane anchored - according to the invention it is nevertheless intended that these 4 preferred variants (and all other CEA variants disclosed herein) may be produced from genetic material that includes this signal sequence and thereby the variants become membrane anchored.

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SEQ ID NO: 6 sets forth the amino acid sequence of a polypeptide that introduces the tetanus toxoid P2 and P30 epitopes in the C-terminus of CEA. The amino acid sequence in SEQ ID NO: 8 sets forth a polypeptide that introduces the P2 and P30 epitopes in the loops of domains 6 and 7, respectively. SEQ ID NO: 10 sets forth the amino acid sequence of a polypeptide that includes the P2 and P30 epitopes inserted in the linker regions between domains 4 and 5 as well as 5 and 6, respectively. Finally, SEQ ID NO: 12 sets forth the amino acid sequence of a modified CEA polypeptide where the P2 epitope is inserted in the linker between domains 4 and 5 and where P30 is substitutes domains 6 and 7.

In essence, there are at present three feasible ways of obtaining the presentation of the relevant epitopes to the immune system: Traditional sub-unit vaccination with polypeptide antigens, administration of a genetically modified live vaccine/viral vector, and nucleic acid vaccination. These three possibilities will be discussed separately in the following:

## Polypeptide vaccination

This entails administration to the animal in question of an immunogenically effective amount of the at least modified CEA polypeptide, e.g. as the above-discusse 1<sup>st</sup> and/or 2<sup>nd</sup> analogues. Preferably, the at least one modified CEA polypeptide is formulated together with a pharmaceutically and immunologically acceptable carrier and/or vehicle and, optionally an adjuvant.

When effecting presentation of the modified CEA to an animal's immune system by means of administration thereof to the animal, the formulation of the polypeptide follows the principles generally acknowledged in the art.

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Preparation of vaccines which contain peptide sequences as active ingredients is generally well understood in the art, as exemplified by US Patents 4,608,251; 4,601,903; 4,599,231; 4,599,230; 4,596,792; and 4,578,770, all incorporated herein 5 by reference. Typically, such vaccines are prepared as injectables either as liquid solutions or suspensions; solid forms suitable for solution in, or suspension in, liquid prior to injection may also be prepared. The preparation may also be emulsified. The active immunogenic ingredient is often mixed 10 with excipients which are pharmaceutically acceptable and compatible with the active ingredient. Suitable excipients are, for example, water, saline, dextrose, glycerol, ethanol, or the like, and combinations thereof. In addition, if desired, the vaccine may contain minor amounts of auxiliary substances 15 such as wetting or emulsifying agents, pH buffering agents, or adjuvants which enhance the effectiveness of the vaccines; cf. the detailed discussion of adjuvants below.

The vaccines are conventionally administered parenterally, by injection, for example, either subcutaneously, intracutaneous20 ly, or intramuscularly. Additional formulations which are suitable for other modes of administration include suppositories and, in some cases, oral, buccal, sublinqual, intraperitoneal, intravaginal, anal and intracranial formulations. For suppositories, traditional binders and carriers may include, for example, polyalkalene glycols or triglycerides; such suppositories may be formed from mixtures containing the active ingredient in the range of 0.5% to 10%, preferably 1-2%. Oral formulations include such normally employed excipients as, for example, pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharine, cellulose, magnesium carbonate, and the like. These compositions take the form of solutions, suspensions, tablets, pills, cap-

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sules, sustained release formulations or powders and contain 10-95% of active ingredient, preferably 25-70%. For oral formulations, cholera toxin is an interesting formulation partner (and also a possible conjugation partner).

5 The modified CEA may be formulated into the vaccine as neutral or salt forms. Pharmaceutically acceptable salts include acid addition salts (formed with the free amino groups of the peptide) and which are formed with inorganic acids such as, for example, hydrochloric or phosphoric acids, or such organic acids as acetic, oxalic, tartaric, mandelic, and the like. Salts formed with the free carboxyl groups may also be derived from inorganic bases such as, for example, sodium, potassium, ammonium, calcium, or ferric hydroxides, and such organic bases as isopropylamine, trimethylamine, 2-ethylamino ethanol, histidine, procaine, and the like.

The vaccines are administered in a manner compatible with the dosage formulation, and in such amount as will be therapeutically effective and immunogenic. The quantity to be administered depends on the subject to be treated, including, e.g., the capacity of the individual's immune system to mount an immune response, and the degree of protection desired. Suitable dosage ranges are of the order of several hundred micrograms active ingredient per vaccination with a preferred range from about 0.1 µg to 2000 µg (even though higher amounts in the 1-25 10 mg range are contemplated), such as in the range from about 0.5 µg to 1000 µg, preferably in the range from 1 µg to 500 µg and especially in the range from about 10 µg to 100 µg. Suitable regimens for initial administration and booster shots are also variable but are typified by an initial administration.

The manner of application may be varied widely. Any of the conventional methods for administration of a vaccine are applicable. These include oral application on a solid physiologically acceptable base or in a physiologically acceptable dispersion, parenterally, by injection or the like. The dosage of the vaccine will depend on the route of administration and will vary according to the age of the person to be vaccinated and the formulation of the antigen.

Some of the polypeptides of the vaccine are sufficiently immu10 nogenic in a vaccine, but for some of the others the immune
response will be enhanced if the vaccine further comprises an
adjuvant substance. It is especially preferred to use an adjuvant which can be demonstrated to facilitate breaking of the
autotolerance to autoantigens.

- 15 Various methods of achieving adjuvant effect for the vaccine are known. General principles and methods are detailed in "The Theory and Practical Application of Adjuvants", 1995, Duncan E.S. Stewart-Tull (ed.), John Wiley & Sons Ltd, ISBN 0-471-95170-6, and also in "Vaccines: New Generation Immunological Adjuvants", 1995, Gregoriadis G et al. (eds.), Plenum Press, New York, ISBN 0-306-45283-9, both of which are hereby incorporated by reference herein.
- One group of preferred adjuvants facilitate uptake of the vaccine molecules by APCs, such as dendritic cells, and activate these. Non-limiting examples are selected from the group consisting of an immune targeting adjuvant; an immune modulating adjuvant such as a toxin, a cytokine, and a mycobacterial derivative; an oil formulation; a polymer; a micelle forming adjuvant; a saponin; an immunostimulating complex matrix (ISCOM matrix); a particle; DDA; aluminium adjuvants; DNA adjuvants;

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γ-inulin; and an encapsulating adjuvant. In general it should be noted that the disclosures above which relate to compounds and agents useful as first, second and third moieties in the modified CEA also refer mutatis mutandis to their use in the adjuvant of a vaccine of the invention.

The application of adjuvants include use of agents such as aluminium hydroxide or phosphate (alum), commonly used as 0.05 to 0.1 percent solution in buffered saline, admixture with synthetic polymers of sugars (e.g. Carbopol®) used as 0.25 10 percent solution, aggregation of the protein in the vaccine by heat treatment with temperatures ranging between 70° to 101°C for 30 second to 2 minute periods respectively and also aggregation by means of cross-linking agents are possible. Aggregation by reactivation with pepsin treated antibodies (Fab frag-15 ments) to albumin, mixture with bacterial cells such as C. parvum or endotoxins or lipopolysaccharide components of gramnegative bacteria, emulsion in physiologically acceptable oil vehicles such as mannide mono-oleate (Aracel A) or emulsion with 20 percent solution of a perfluorocarbon (Fluosol-DA) 20 used as a block substitute may also be employed. Admixture with oils such as squalene and IFA is also preferred.

According to the invention DDA (dimethyldioctadecylammonium bromide) is an interesting candidate for an adjuvant as is DNA, MF59, and γ-inulin, but also Freund's complete and incomplete adjuvants as well as quillaja saponins such as QuilA and QS21 are interesting. Further possibilities are monophosphoryl lipid A (MPL), and the above mentioned C3 and C3d.

Liposome formulations are also known to confer adjuvant effects, and therefore liposome adjuvants are preferred according to the invention.

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Also immunostimulating complex matrix type (ISCOM® matrix) adjuvants are preferred choices according to the invention, especially since it has been shown that this type of adjuvants are capable of up-regulating MHC Class II expression by APCs. 5 An ISCOM® matrix consists of (optionally fractionated) saponins (triterpenoids) from Quillaja saponaria, cholesterol, and phospholipid. When admixed with the immunogenic protein, the resulting particulate formulation is what is known as an ISCOM particle where the saponin constitutes 60-70% w/w, the choles-10 terol and phospholipid 10-15% w/w, and the protein 10-15% w/w. Details relating to composition and use of immunostimulating complexes can e.g. be found in the above-mentioned text-books dealing with adjuvants, but also Morein B et al., 1995, Clin. Immunother. 3: 461-475 as well as Barr IG and Mitchell GF, 15 1996, Immunol. and Cell Biol. 74: 8-25 (both incorporated by reference herein) provide useful instructions for the preparation of complete immunostimulating complexes.

Another highly interesting (and thus, preferred) possibility of achieving adjuvant effect is to employ the technique de20 scribed in Gosselin et al., 1992 (which is hereby incorporated by reference herein). In brief, the presentation of a relevant antigen such as a modified CEA polypeptide of the present invention can be enhanced by conjugating the antigen to antibodies (or antigen binding antibody fragments) against the Fcy receptors on monocytes/macrophages. Especially conjugates between modified CEA and anti-FcyRI have been demonstrated to enhance immunogenicity for the purposes of vaccination.

Other possibilities involve the use of the targeting and immune modulating substances (i.a. cytokines) mentioned above as 30 candidates for the first and second moieties in the modified

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CEA. In this connection, also synthetic inducers of cytokines like poly I:C are possibilities.

Suitable mycobacterial derivatives are selected from the group consisting of muramyl dipeptide, complete Freund's adjuvant,

5 RIBI, and a diester of trehalose such as TDM and TDE.

Suitable immune targeting adjuvants are selected from the group consisting of CD40 ligand and CD40 antibodies or specifically binding fragments thereof (cf. the discussion above), mannose, a Fab fragment, and CTLA-4.

10 Suitable polymer adjuvants are selected from the group consisting of a carbohydrate such as dextran, PEG, starch, mannan, and mannose; a plastic polymer; and latex such as latex beads.

Yet another interesting way of modulating an immune response 15 is to include the immunogen (optionally together with adjuvants and pharmaceutically acceptable carriers and vehicles) in a "virtual lymph node" (VLN) (a proprietary medical device developed by ImmunoTherapy, Inc., 360 Lexington Avenue, New York, NY 10017-6501). The VLN (a thin tubular device) mimics 20 the structure and function of a lymph node. Insertion of a VLN under the skin creates a site of sterile inflammation with an upsurge of cytokines and chemokines. T- and B-cells as well as APCs rapidly respond to the danger signals, home to the inflamed site and accumulate inside the porous matrix of the 25 VLN. It has been shown that the necessary antigen dose required to mount an immune response to an antigen is reduced when using the VLN and that immune protection conferred by vaccination using a VLN surpassed conventional immunization using Ribi as an adjuvant. The technology is i.a. described 30 briefly in Gelber C et al., 1998, "Elicitation of Robust CelWO 03/059379 PCT/DK03/00031

lular and Humeral Immune Responses to Small Amounts of Immunogens Using a Novel Medical Device Designated the Virtual Lymph
Node", in: "From the Laboratory to the Clinic, Book of Abstracts, October 12<sup>th</sup> - 15<sup>th</sup> 1998, Seascape Resort, Aptos, Cali5 fornia".

At any rate, for all (poly)peptide vaccine formulations according to the invention, it is important that, if a CTL response is aimed at, the formulation is capable of shunting the polypeptide immunogen into the MHC type I degradation pathway in order to ensure that the CTL epitopes of autologous CEA are presented in the context of MHC Class I molecules on the surface of the APC. The skilled person will know which of the above-detailed adjuvants to choose for this specific purpose.

It is expected that the vaccine should be administered at

15 least once a year, such as at least 1, 2, 3, 4, 5, 6, and 12
times a year. More specifically, 1-12 times per year is expected, such as 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, or 12 times
a year to an individual in need thereof. It has previously
been shown that the memory immunity induced by the use of the

20 preferred autovaccines according to the invention is not permanent, and therefore the immune system needs to be periodically challenged with the modified CEA.

Due to genetic variation, different individuals may react with immune responses of varying strength to the same polypeptide.

25 Therefore, the vaccine according to the invention may comprise several different modified CEA polypeptides in order to increase the immune response, cf. also the discussion above concerning the choice of foreign T-cell epitope introductions. The vaccine may comprise two or more polypeptides, where all of the polypeptides are as defined above.

The vaccine may consequently comprise 3-20 different modified polypeptides, such as 3-10 different polypeptides. However, normally the number of peptides will be sought kept to a minimum such as 1 or 2 peptides.

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#### 5 Live vaccines

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The second alternative for effecting presentation to the immune system is the use of live vaccine technology. In live vaccination, presentation to the immune system is effected by administering, to the animal, a non-pathogenic microorganism 10 which has been transformed with a nucleic acid fragment encoding the necessary epitopic regions or a complete modified CEA polypeptide (e.g. a  $1^{st}$  and/or  $2^{nd}$  analogue). Alternatively, the microorganism is transformed with a vector incorporating such a nucleic acid fragment. The non-pathogenic microorganism can 15 be any suitable attenuated bacterial strain (attenuated by means of passaging or by means of removal of pathogenic expression products by recombinant DNA technology), e.g. Mycobacterium bovis BCG., non-pathogenic Streptococcus spp., E. coli, Salmonella spp., Vibrio cholerae, Shigella, etc. Reviews 20 dealing with preparation of state-of-the-art live vaccines can e.g. be found in Saliou P, 1995, Rev. Prat. 45: 1492-1496 and Walker PD, 1992, Vaccine 10: 977-990, both incorporated by reference herein. For details about the nucleic acid fragments and vectors used in such live vaccines, cf. the discussion be-25 low.

Especially BCG has been used extensively as a live bacterial vaccine: The BCG vaccine has been successfully used to prevent tuberculosis around the world. Vaccination can be given after birth and results in few severe complications, even in individuals who are infected with human immunodeficiency virus

type 1. BCG possesses strong immune adjuvant activity, and has been used extensively in the treatment of superficial bladder cancers.

As for the polypeptide vaccine, the  $T_{\rm H}$  epitope and/or the first and/or second and/or third moieties can, if present, be in the form of fusion partners to the amino acid sequence derived from the autologous CEA.

As an alternative to bacterial live vaccines, the nucleic acid fragment of the invention discussed below can be incorporated in a non-virulent viral vaccine vector. Feasible viral vectors are selected from a pox virus such as vaccinia, MVA (modified Vaccinia virus), canary pox, avi-pox, and chicken pox etc. Alternatively, a herpes simplex virus variant can be used.

When using viral vaccines it is an interesting embodiment of
the invention to utilise expression vectors where the N-terminal signal sequence (amino acids 1-34 in SEQ ID NO: 2) is deleted by omitting the nucleotides encoding it. This will have
as a result that the translation product is not exported to
the ER in the host cell, hence facilitating presentation of
CTL epitopes on the surface of infected cells, cf. also the
discussion under "nucleic acid vaccination".

Normally, the non-pathogenic microorganism or virus is administered only once to the animal, but in certain cases it may be necessary to administer the microorganism more than once in 25 a lifetime.

Also, the microorganism can be transformed with nucleic acid(s) containing regions encoding the 1<sup>st</sup>, 2<sup>nd</sup> and/or 3<sup>rd</sup> moieties, e.g. in the form of the immunomodulating substances described above such as the cytokines discussed as useful ad-

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juvants. A preferred version of this embodiment encompasses having the coding region for the modified CEA and the coding region for the immunomodulator in different open reading frames or at least under the control of different promoters.

5 Thereby it is avoided that the modified CEA polypeptides or epitopes are produced as fusion partners to the immunomodulator. Alternatively, two distinct nucleotide fragments can be used as transforming agents.

### Nucleic acid vaccination

- 10 As an alternative to classic administration of a peptide-based vaccine, the technology of nucleic acid vaccination (also known as "nucleic acid immunisation", "genetic immunisation", "gene immunisation" and "DNA vaccination) offers a number of attractive features.
- 15 First, in contrast to the traditional vaccine approach, nucleic acid vaccination does not require resource consuming large-scale production of the immunogenic agent (e.g. in the form of industrial scale fermentation of microorganisms producing the modified CEA polypeptides necessary in polypeptide vaccination). Furthermore, there is no need to device purification and refolding schemes for the immunogen. And finally, since nucleic acid vaccination relies on the biochemical apparatus of the vaccinated individual in order to produce the expression product of the nucleic acid introduced, the optimum posttranslational processing of the expression product is expected to occur; this is especially important in the case of
- autovaccination, since, as mentioned above, a significant fraction of the original B-cell epitopes should be preserved in the modified CEA polypeptides derived from extracellularly exposed polypeptide sequences, and since B-cell epitopes in

principle can be constituted by parts of any (bio)molecule (e.g. carbohydrate, lipid, protein etc.). Therefore, native glycosylation and lipidation patterns of the immunogen may very well be of importance for the overall immunogenicity and this is best ensured by having the host producing the immunogen.

Two further features render nucleic acid vaccination especially interesting in the context of the present invention. By using DNA as a vaccine agent, it is relatively uncomplicated to ensure presentation of CTL epitopes in the MHC class I context on the APCs (as long as translocation signals directing the translation product to the ER are omitted). Further, it has been repeatedly demonstrated that immunizations including administration of DNA leads to a shift in T helper cell profile from Th2 to Th1 cells, and since the adverse allergic reactions mediated by CEA are first and foremost supported by Th2 cells, the use of DNA vaccination will in itself provide a beneficial effect on the underlying disease.

Hence, an important embodiment of the method of the invention involves that presentation is effected by administering a nucleic acid fragment encoding a modified CEA polypeptide. Preferably, this is done by using a nucleic acid fragment which encodes and expresses the above-discussed first analogue. If the first analogue is equipped with the above-detailed TH epitopes and/or first and/or second and/or third moieties, these are then present in the form of fusion partners to the amino acid sequence derived from the autologous CEA, the fusion construct being encoded by the nucleic acid fragment.

As for the traditional vaccination approach, the nucleic acid 30 vaccination can be combined with *in vivo* introduction, into

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the APC, of at least one nucleic acid fragment encoding and expressing the second analogue. The considerations pertaining to  $1^{\rm st}$ ,  $2^{\rm nd}$  and  $3^{\rm rd}$  moieties and  $T_{\rm H}$  epitopes apply also here.

In this embodiment, the introduced nucleic acid is preferably 5 DNA which can be in the form of naked DNA, DNA formulated with charged or uncharged lipids, DNA formulated in liposomes, emulsified DNA, DNA included in a viral vector, DNA formulated with a transfection-facilitating protein or polypeptide, DNA formulated with a targeting protein or polypeptide, DNA formu-10 lated with Calcium precipitating agents, DNA coupled to an inert carrier molecule, and DNA formulated with an adjuvant. In this context it is noted that practically all considerations pertaining to the use of adjuvants in traditional vaccine formulation apply for the formulation of DNA vaccines. Hence, all 15 disclosures herein which relate to use of adjuvants in the context of polypeptide based vaccines apply mutatis mutandis to their use in nucleic acid vaccination technology. The same holds true for other considerations relating to formulation and mode and route of administration and, hence, also these 20 considerations discussed above in connection with a traditional vaccine apply mutatis mutandis to their use in nucleic acid vaccination technology.

One especially preferred type of formulation of nucleic acid vaccines are microparticles containing the DNA. Suitable mi25 croparticles are e.g. described in WO 98/31398.

Furthermore, the nucleic acid(s) used as an immunization agent can contain regions encoding the 1<sup>st</sup>, 2<sup>nd</sup> and/or 3<sup>rd</sup> moieties, e.g. in the form of the immunomodulating substances described above such as the cytokines discussed as useful adjuvants. A preferred version of this embodiment encompasses having the

coding region for the modified CEA and the coding region for the immunomodulator in different open reading frames or at least under the control of different promoters. Thereby it is avoided that the modified CEA is produced as a fusion partner to the immunomodulator. Alternatively, two distinct nucleotide fragments can be used, but this is less preferred because of the advantage of ensured co-expression when having both coding regions included in the same molecule.

Under normal circumstances, the nucleic acid of the vaccine is introduced in the form of a vector wherein expression is under control of a viral promoter. For more detailed discussions of vectors according to the invention, cf. the discussion below. Also, detailed disclosures relating to the formulation and use of nucleic acid vaccines are available, cf. Donnelly JJ et al, 1997, Annu. Rev. Immunol. 15: 617-648 and Donnelly JJ et al., 1997, Life Sciences 60: 163-172. Both of these references are incorporated by reference herein.

The expression cassette in the nucleic acid vaccine can be constructed so as to ensure that no export of the expression product takes place (e.g. by omitting signal sequences that would result in membrane integration or secretion). In this way, only minute amounts of expression product will be exported, whereas the remainder will be processed and presented as peptide fragments in the context of MHC molecules.

25 Hence, when using nucleic acid vaccines it is an interesting embodiment of the invention to utilise expression vectors where the N-terminal CEA signal sequence (amino acids 1-34 in SEQ ID NO: 2) is deleted by omitting the nucleotides encoding it. This will have as a result that the translation product is not exported to the ER in the host cell, hence facilitating

presentation of CTL epitopes on the surface of infected cells. In this way, only minute amounts of expression product will be exported, whereas the remainder will be processed and presented as peptide fragments in the context of MHC molecules.

5 Hence, no or only a very limited antibody response will be induced, whereas a CTL response will be mounted.

#### Combination of approaches

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As discussed above under the "Background of the Invention", various prime-boost strategies have proven effective in faci10 litating an improved immune response. Hence, according to the present invention, use of any combination of nucleic acid vaccination, live vaccination and polypeptide vaccination may be utilised. However, it is especially preferred to prime via nucleic acid or viral vaccination and boost with a polypeptide vaccine, preferably where the polypeptide vaccine contains the expression product fromt the nucleic acid of the priming vaccine.

# Polypeptides of the invention

All modified CEA polypeptides discussed in the above disclosure of the method of the invention are believed to be inventive in their own right. Hence the invention also relates to modified human CEA polypeptide that is capable of inducing an immune response against autologous CEA in a human subject, the, which comprises at least about 80 CEA-derived amino acids, either in the form of at least about 80 consecutive CEA-derived amino acids or in the form of at least about 80 amino acids constituted of uninterrupted CEA-derived CTL epitopes, and at least one first non-human T helper epitope (TH epitope).

All teaching set forth above concerning possible embodiments of the modified CEA also applies mutatis mutandis to the part of the invention that relates to modified CEA as such. Hence, each and every embodiment of modified CEA described in context of the method of the invention also applies to embodiments of modified CEA as such.

It should be noted that preferred modified CEA polypeptides of the invention (and also the relevant modified CEA polypeptides used in the methods of the invention) comprise modifications

10 which results in a polypeptide having a sequence identity of at least 70% with the autologous CEA or with a subsequence thereof of at least 10 amino acids in length. Higher sequence identities are preferred, e.g. at least 75% or even at least 80% or 85%. The sequence identity for proteins and nucleic a
15 cids can be calculated as (N<sub>ref</sub>-N<sub>dif</sub>)·100/N<sub>ref</sub>, wherein N<sub>dif</sub> is the total number of non-identical residues in the two sequences when aligned and wherein N<sub>ref</sub> is the number of residues in one of the sequences. Hence, the DNA sequence AGTCAGTC will have a sequence identity of 75% with the sequence AATCAATC

20 (N<sub>dif</sub>=2 and N<sub>ref</sub>=8).

# Nucleic acid fragments and vectors of the invention

It will be appreciated from the above disclosure that the modified polypeptides can be prepared by means of recombinant gene technology but also by means of chemical synthesis or semisynthesis; the latter two options are especially relevant when the modification consists in coupling to protein carriers (such as KLH, diphtheria toxoid, tetanus toxoid, and BSA) and non-proteinaceous molecules such as carbohydrate polymers and of course also when the modification comprises addition of

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side chains or side groups to an polypeptide-derived peptide chain.

For the purpose of recombinant gene technology, and of course also for the purpose of nucleic acid immunization, nucleic acid fragments encoding the necessary modified CEA polypeptides are important chemical products. Hence, an important part of the invention pertains to a nucleic acid fragment which encodes a modified CEA polypeptide described above, preferably a polypeptide wherein has been introduced a foreign TH-cell epitope by means of insertion and/or addition, preferably by means of substitution and/or deletion. The nucleic acid fragments of the invention are either DNA or RNA fragments.

The nucleic acid fragments of the invention will normally be inserted in suitable vectors to form cloning or expression

15 vectors carrying the nucleic acid fragments of the invention; such novel vectors are also part of the invention. Details concerning the construction of these vectors of the invention will be discussed in context of transformed cells and microorganisms below. The vectors can, depending on purpose and type

20 of application, be in the form of plasmids, phages, cosmids, mini-chromosomes, or virus, but also naked DNA which is only expressed transiently in certain cells is an important vector. Preferred cloning and expression vectors of the invention are capable of autonomous replication, thereby enabling high copy
25 numbers for the purposes of high-level expression or high-level replication for subsequent cloning.

The general outline of a vector of the invention comprises the following features in the 5'→3' direction and in operable linkage: a promoter for driving expression of the nucleic acid fragment of the invention, optionally a nucleic acid sequence

encoding a leader peptide enabling secretion of or integration into the membrane of the polypeptide fragment, the nucleic acid fragment of the invention, and a nucleic acid sequence encoding a terminator. When operating with expression vectors in producer strains or cell-lines it is for the purposes of genetic stability of the transformed cell preferred that the vector when introduced into a host cell is integrated in the host cell genome. In contrast, when working with vectors to be used for effecting in vivo expression in an animal (i.e. when using the vector in DNA vaccination) it is for security reasons preferred that the vector is not capable of being integrated in the host cell genome; typically, naked DNA or non-integrating viral vectors are used, the choices of which are well-known to the person skilled in the art.

15 The vectors of the invention are used to transform host cells to produce the modified CEA of the invention. Such transformed cells, which are also part of the invention, can be cultured cells or cell lines used for propagation of the nucleic acid fragments and vectors of the invention, or used for recombinant production of the modified CEA of the invention. Alternatively, the transformed cells can be suitable live vaccine strains wherein the nucleic acid fragment (one single or multiple copies) have been inserted so as to effect secretion or integration into the bacterial membrane or cell-wall of the modified CEA.

Preferred transformed cells of the invention are microorganisms such as bacteria (such as the species Escherichia [e.g. E. coli], Bacillus [e.g. Bacillus subtilis], Salmonella, or Mycobacterium [preferably non-pathogenic, e.g. M. bovis BCG]), yeasts (such as Saccharomyces cerevisiae), and protozoans. Alternatively, the transformed cells are derived from a multi-

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cellular organism such as a fungus, an insect cell, a plant cell, or a mammalian cell. Most preferred are cells derived from a human being, cf. the discussion of cell lines and vectors below.

5 For the purposes of cloning and/or optimised expression it is preferred that the transformed cell is capable of replicating the nucleic acid fragment of the invention. Cells expressing the nucleic fragment are preferred useful embodiments of the invention; they can be used for small-scale or large-scale 10 preparation of the modified CEA or, in the case of non-pathogenic bacteria, as vaccine constituents in a live vaccine.

When producing the modified CEA of the invention by means of transformed cells, it is convenient, although far from essential, that the expression product is either exported out into the culture medium or carried on the surface of the transformed cell.

When an effective producer cell has been identified it is preferred, on the basis thereof, to establish a stable cell line which carries the vector of the invention and which expresses the nucleic acid fragment encoding the modified CEA. Preferably, this stable cell line secretes or carries the modified CEA of the invention, thereby facilitating purification thereof.

In general, plasmid vectors containing replicon and control

25 sequences which are derived from species compatible with the
host cell are used in connection with the hosts. The vector
ordinarily carries a replication site, as well as marking sequences which are capable of providing phenotypic selection in
transformed cells. For example, E. coli is typically trans
30 formed using pBR322, a plasmid derived from an E. coli species

(see, e.g., Bolivar et al., 1977). The pBR322 plasmid contains genes for ampicillin and tetracycline resistance and thus provides easy means for identifying transformed cells. The pBR plasmid, or other microbial plasmid or phage must also contain, or be modified to contain, promoters which can be used by the prokaryotic microorganism for expression.

Those promoters most commonly used in recombinant DNA construction include the B-lactamase (penicillinase) and lactose promoter systems (Chang et al., 1978; Itakura et al., 1977;

10 Goeddel et al., 1979) and a tryptophan (trp) promoter system (Goeddel et al., 1979; EP-A-0 036 776). While these are the most commonly used, other microbial promoters have been discovered and utilized, and details concerning their nucleotide sequences have been published, enabling a skilled worker to ligate them functionally with plasmid vectors (Siebwenlist et al., 1980). Certain genes from prokaryotes may be expressed efficiently in E. coli from their own promoter sequences, precluding the need for addition of another promoter by artificial means.

20 In addition to prokaryotes, eukaryotic microbes, such as yeast cultures may also be used, and here the promoter should be capable of driving expression. Saccharomyces cerevisiase, or common baker's yeast is the most commonly used among eukaryotic microorganisms, although a number of other strains are commonly available such as Pichia pastoris. For expression in Saccharomyces, the plasmid YRp7, for example, is commonly used (Stinchcomb et al., 1979; Kingsman et al., 1979; Tschemper et al., 1980). This plasmid already contains the trpl gene which provides a selection marker for a mutant strain of yeast lacking the ability to grow in tryptophan for example ATCC No. 44076 or PEP4-1 (Jones, 1977). The presence of the trpl lesion

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as a characteristic of the yeast host cell genome then provides an effective environment for detecting transformation by growth in the absence of tryptophan.

Suitable promoting sequences in yeast vectors include the promoters for 3-phosphoglycerate kinase (Hitzman et al., 1980) or
other glycolytic enzymes (Hess et al., 1968; Holland et al.,
1978), such as enolase, glyceraldehyde-3-phosphate dehydrogenase, hexokinase, pyruvate decarboxylase, phosphofructokinase, glucose-6-phosphate isomerase, 3-phosphoglycerate mutase,
pyruvate kinase, triosephosphate isomerase, phosphoglucose
isomerase, and glucokinase. In constructing suitable expression plasmids, the termination sequences associated with these
genes are also ligated into the expression vector 3' of the
sequence desired to be expressed to provide polyadenylation of
the mRNA and termination.

Other promoters, which have the additional advantage of transcription controlled by growth conditions are the promoter region for alcohol dehydrogenase 2, isocytochrome C, acid phosphatase, degradative enzymes associated with nitrogen metabolism, and the aforementioned glyceraldehyde-3-phosphate dehydrogenase, and enzymes responsible for maltose and galactose utilization. Any plasmid vector containing a yeast-compatible promoter, origin of replication and termination sequences is suitable.

In addition to microorganisms, cultures of cells derived from multicellular organisms may also be used as hosts. In principle, any such cell culture is workable, whether from vertebrate or invertebrate culture. However, interest has been greatest in vertebrate cells, and propagation of vertebrate in culture (tissue culture) has become a routine procedure in re-

cent years (Tissue Culture, 1973). Examples of such useful host cell lines are VERO and HeLa cells, Chinese hamster ovary (CHO) cell lines, and W138, BHK, COS-7 293 and MDCK cell lines.

- 5 Expression vectors for such cells ordinarily include (if necessary) an origin of replication, a promoter located in front of the gene to be expressed, along with any necessary ribosome binding sites, RNA splice sites, polyadenylation site, and transcriptional terminator sequences.
- 10 For use in mammalian cells, the control functions on the expression vectors are often provided by viral material. For example, commonly used promoters are derived from polyoma, Adenovirus 2, and most frequently Simian Virus 40 (SV40). The early and late promoters of SV40 virus are particularly useful because both are obtained easily from the virus as a fragment which also contains the SV40 viral origin of replication (Fiers et al., 1978). Smaller or larger SV40 fragments may also be used, provided there is included the approximately 250 bp sequence extending from the HindIII site toward the BglI site located in the viral origin of replication. Further, it is also possible, and often desirable, to utilize promoter or control sequences normally associated with the desired gene sequence, provided such control sequences are compatible with the host cell systems.
- 25 An origin of replication may be provided either by construction of the vector to include an exogenous origin, such as may be derived from SV40 or other viral (e.g., Polyoma, Adeno, VSV, BPV) or may be provided by the host cell chromosomal replication mechanism. If the vector is integrated into the host cell chromosome, the latter is often sufficient.

### Compositions of the invention

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The invention also relates to an immunogenic composition which comprises, as an effective immunogenic agent at least one of the modified CEA polypeptides described herein in admixture with a pharmaceutically and immunologically acceptable carrier, vehicle, diluent, or excipient, and optionally an adjuvant, cf. also the discussion of these entities in the description of the method of the invention above.

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Furthermore, the invention also relates to a composition for 10 inducing production of antibodies autologous CEA, the composition comprising

- a nucleic acid fragment or a vector of the invention, and
- a pharmaceutically and immunologically acceptable diluent and/or vehicle and/or carrier and/or excipient and/or adjuvant.

Formulation and other specifics concerning such compositions are discussed in the relevant section regarding nucleic acid immunisation above.

In the following examples we present a discussion of the pre-20 ferred constructs of the invention as well as of their preparation and the testing of the immunological properties of these constructs.

#### EXAMPLES

CEA DNA constructions.

Modified CEA constructs are designed and constructed as generally and specifically described above utilising standard protocols known in the art.

For use as DNA vaccines, the DNA encoding modified CEA can thereafter be directly cloned into suitable, commercially available DNA vaccination vectors such as pcDNA, pHP, pCI etc.

Expression and purification of CEA proteins

10 A variety of expression systems could be employed in order to generate recombinant modified CEA polyptides. This includes expression systems based on e.g. bacterial, insect cells, yeast and mammalian cells. In either system, stable lines and/or clones will be established and grown in suitable volumes for protein production. Various protein purification methods (e.g. precipitations and chromatographic methods such as gel filtrations, affinity chromatography, ion exchange chromatography, HPLC etc.) can be used to purify CEA proteins. If necessary, refolding procedures may be also applied to yield products suitable for vaccinations.

DNA and protein vaccinations

The modified CEA polypeptide or nucleic acid expression vector is injected into a suitable animal species such as mouse, rat, quinea pig, rabbit, or monkey.

25 In case of CEA nucleic acid vaccines, the animals are usually immunized a total of 3-6 times, for example at weeks 0, 2, 4,

6 and 8. The DNA vaccines can either consist of plasmid DNA dissolved in water, saline or a suitable buffer such as trisbased buffers, PBS etc - or the DNA can be formulated in a suitable delivery system such as microparticles and liposomes, 5 cf. the discussion pertaining to formulations above.

In case of protein-based CEA vaccines, the purified recombinant modified CEA proteins can be mixed with a suitable adjuvant such as for example Freund's Adjuvant, ISA-51, aluminumbased adjuvants (aluminium phosphate or aluminium hydroxide, e.g. from Danfoss), Calcium Phosphate, QS21 (Antigenics), MF59 (Chiron Corp.), and Ribi (Glaxo SmithKline). Protein vaccines are usually administered 3-5 times, for example at weeks 0, 3, 6, 9, 12.

CEA nucleic acid vaccines and CEA protein vaccines can also be combined in any vaccination scheme in a prime-boost strategy. An example of such a vaccination scheme could be one initial immunisation with a CEA DNA expression vector at week 0 and subsequent booster injections with modified CEA protein at weeks 3, 6 and 9 - however, any other combination of DNA and protein vaccinations could be used.

#### Antibody titer determination

Sera from vaccinated animals can be tested for CEA specific antibodies by ELISA. 96-well Maxisorb plates (e.g obtained from Nunc, Life Technologies, Taastrup, Denmark) can be coated 25 with a suitable volume (e.g. 50 ul) of CEA protein in a suitable buffer such as carbonate buffer pH 9.6 in a suitable concentration giving a final CEA content of e.g. 1 ug/well. The plates are incubated, e.g. for 1 hour, washed in washing buffer, e.g. PBS + 0.5M NaCl + 1% Triton X-100 and then 30 blocked for e.g. 1 hour in dilution buffer that could e.g. be

washing buffer plus 1% BSA. Standards and diluted serum samples can be added in duplicate and incubated in the plates, e.g. for 30 minutes. After washing, a dilution of secondary antibody (e.g. HRP conjugated rabbit-anti-mouse IgG e.g. from DAKO, Glostrup, Denmark) can be added (e.g diluted 1:1000 in dilution buffer) and incubated for 30 minutes. The plates can then be washed in washing buffer, and a chromogenic substrate, e.g. OPD substrate (e.g. from Sigma-Aldrich, Vallensbæk Strand, Denmark) can be added. The reaction can be stopped with e.g 2N H<sub>2</sub>SO<sub>4</sub> and the optical density be measured in e.g. a Dynex MRX ELISA plate reader at 490nm. Serum antibody concentrations can be calculated e.g. by relating the optical densities of the samples to a standard curve. In order to generate the standard curve, a wide range of anti-CEA antibodies are

The ELISA can be modified for different purposes, e.g. the reactivity against CEA peptides can be monitored using peptide ELISA for mapping of the fine specificity of anti-CEA anti-sera. Sandwich ELISA can be used to monitor whether the anti-CEA antisera contains reactivities that can displace e.g. a biotin-labeled, therapeutically relevant anti-CEA antibody from binding to plate-coated CEA. Specialized ELISA kits can be used to determine the isotype subclass distribution in anti-CEA etc.

## 25 Assays to monitor anti-CEA CTL activity

It can be investigated whether immunization with CEA vaccines (DNA and/or protein-based vaccines) can induce a CEA-specific CTL response. CEA derived peptides (e.g. YLSGANLNL, YLSGADLNL, HLFGYSWYK, SYLSGANLNL, QYSWFVNGTF, TYACFVSNL) that are known to be able to bind to MHC class I can be synthesized and used

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to pulse antigen presenting cells in e.g. typical cytotoxic T cell assays. CEA expressing target cells (e.g. MHC class I expressing tumor cell lines transfected with CEA) can be used as targets for CEA-specific cytotoxic T lymphocytes (CTLs). 5 Mice will be vaccinated with CEA-encoding DNA in vivo expression vectors and/or proteins using an appropriate vaccination scheme, cf. above. As positive control, mice could be immunized with e.g. MHC class I peptides derived from the CEA sequence. After a suitable time interval, e.g. three weeks after 10 the first immunization, splenocytes from immunized mice can be re-stimulated e.g. with mytomicin C-treated (e.g. 50 µg/ml, 20 min at 37 °C) syngeneic splenocytes loaded with CEA peptides. For this re-stimulation process, a suitable number (e.g. 100x106) of peptide-loaded syngeneic splenocytes can be mixed 15 with a suitable number (e.g.  $60 \times 10^6$ ) of splenocytes from vaccinated mice in and incubated at 37 °C for e.g. 7 days. After the restimulation process, the cytotoxic activity of the effector cells can be monitored e.g. in a chromium release assay. A suitable number (e.g.  $5x10^6$ ) of target cells is labeled 20 with 51Chromium (e.g. 200 mCi), if necessary loaded with CEAderived peptide, and used as targets in a 51Chromium release assav. Cell lines transfected with human CEA can also be used as targets for CTLs. Such CTL assays can e.g. be performed in normal wild type mice, human CEA transgenic mice and/or mice 25 transgenic for human HLA class I molecules (e.g. HHD mice) using suitable compatible target cells and cells for restimulation.

The ability of a CEA vaccine to induce CEA-specific CTL responses can also be measured using other assay methods including CEA specific tetramer stainings and Elispot assays; all such methods are well-known to the person skilled in immunology.

# CEA tumor models

To test the effect of CEA vaccination in tumor models, transgenic mice expressing human CEA or normal strains such as e.g. Balb/c, C57Bl/6 or others could be obtained either from a com-5 mercial animal supplier or an academic or other collaborator. A transplantable tumor cell line expressing the CEA antigen can be used to create an animal model that can be used to monitor whether CEA vaccination can inhibit a CEA positive tumor challenge. The transplantable tumor cell line can either 10 be a tumor cell line which is compatible with the mouse strain transfected with the human CEA sequence - or it can be a tumor cell line derived from a tumor dissected from CEA transgenic mice. The tumor model studies can be designed either as prevention or as treatment studies. In prevention studies, the 15 mice are implanted with CEA expressing tumours after the course of immunization and the growth of the tumour is measured in a designated period. In treatment studies, mice are implanted with the CEA expressing tumours followed by single or multiple vaccinations with the CEA vaccines. Tumour growth 20 can then be followed for a designated period.

One suitable such animal model is described in Eades-Perner AM et al. 1994, Cancer Res 54, 4169-4176.

# Passive transfer of anti-CEA antibodies

In order to determine whether antibodies induced by CEA vacci25 nations can inhibit growth of CEA expressing tumors, a passive
transfer model can be used. Sera from mice vaccinated with modified CEA protein and/or DNA can be pooled and the CEA specific antibody concentration determined by ELISA. A suitable
volume (e.g. 100 to 200 µl) of the pooled mouse serum can be

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injected into the peritoneum of naïve mice one day before subcutaneous challenge with a suitable number of CEA expressing tumor cells. The tumor cells can either be obtained from a commercial source or tumor cells transfected with the human 5 CEA sequence. Control animals will be injected with non-specific mouse IgG (e.g. from Jackson ImmunoResearch Laboratories, Inc., West Grove, PA) or with serum from mice injected with an irrelevant antigen or adjuvant only. The mice can be immune deficient strains, e.g. nude mice or SCID mice, allowing transplantation with MHC incompatible tumor cell lines, e.g. human tumor cell lines expressing CEA. Tumour growth will then be monitored for a suitable time period, e.g. several weeks. Similar studies can be performed where the animal species which is immunized with CEA vaccines are not mice (e.g. 15 rats or rabbits), and the protocol is adjusted accordingly.

#### CLAIMS

- A method for inducing an immune response against autologous carcinoembryonic antigen (CEA) in an animal, including a human being, the method comprising effecting uptake and processing
   by antigen presenting cells (APCs) in the animal of at least one modified CEA polypeptide or of a nucleic acid encoding the modified CEA polypeptide or of a pharmaceutically acceptable microorganism or virus expressing the modified CEA polypeptide, said at least one modified CEA polypeptide comprising
- at least about 80 CEA-derived amino acids, either in the form of at least about 80 consecutive CEA-derived amino acids or in the form of at least about 80 amino acids constituted of uninterrupted CEA-derived CTL epitopes, and
- at least one first T helper epitope ( $T_{\text{H}}$  epitope) foreign to the animal,

thereby inducing a CTL response and/or an antibody response that targets the autologous CEA.

- 2. The method according to claim 1, wherein the Modified CEA polypeptide comprises at least about 100 CEA derived amino acids, such as at least about 120, 140, 160 or 180 amino acids, preferably at least about 200, such as at least about 220, 250, 300, 400, or 500 amino acids.
- 3. The method according to claim 1 or 2, wherein at least one
  25 CEA-derived CTL epitope is presented by the APC in association
  with an MHC Class I molecule on the surface of the APC and/or
  wherein said at least one first foreign TH epitope is presented

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by an APC in association with an MHC Class II molecule on the surface of the APC.

- 4. The method according to any one of the preceding claims, wherein the APC is a dendritic cell or a macrophage.
- 5 5. The method according to any one of the preceding claims, wherein at least one modified CEA polypeptide is in the form of one first analogue of CEA, said first analogue comprising a variation of the amino acid sequence of CEA, said variation containing CEA-derived CTL epitope(s) and the at least one 10 first foreign T<sub>H</sub> epitope.
  - 6. The method according to claim 5, wherein the at least one first analogue contains a substantial fraction of known and predicted CTL epitopes from autologous CEA.
- 7. The method according to claim 6, wherein the substantial fraction of known and predicted CTL epitopes in the amino acid sequence of the analogue are recognized by at least 90% of the MHC-I haplotypes recognizing all known and predicted CTL epitopes in CEA.
- 8. The method according to any one of claims 5-7, wherein sub20 stantially all known CTL epitopes of the autologous CEA are
  present in the first analogue and/or wherein substantially all
  predicted CTL epitopes of the autologous CEA are present in
  the at least first analogue.
- 9. The method according to any one of claims 5-8, wherein the 25 at least one first analogue further comprises at least one B-cell epitope of the autologous CEA, so that immunization of the animal with the first analogue induces production of antibodies in the animal against the autologous CEA.

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- 10. The method according to any one of the preceding claims, wherein one modified CEA polypeptide is in the form of at least one second analogue of the autologous CEA, said second analogue containing at least one B-cell epitope of the autologous CEA, so that immunization of the animal with the second analogue induces production of antibodies against the autologous CEA.
  - 11. The method according to claim 10, wherein at least one second foreign  $T_{\rm H}$  epitope is included in the second analogue.
- 10 12. The method according to any one of claims 6-11, wherein the first and/or second analogue(s) comprise(s) a substantial fraction of the B-cell epitopes of the autologous CEA.
- 13. The method according to any one of the preceding claims, wherein the modified CEA polypeptide substantially includes
  15 the amino acid sequence of at least one domain, such as at least 2, 3, 4, 5, 6 or all 7 domains of CEA.
- 14. The method according to any one of the preceding claims, wherein the modified CEA polypeptide can be provided by subjecting CEA to amino acid substitution and/or deletion and/or insertion and/or addition.
  - 15. The method according to any one of the preceding claims, wherein the modified CEA polypeptide comprises
    - at least one first moiety effecting targeting of the modified CEA polypeptide to an antigen presenting cell (APC), and/or
    - at least one second moiety stimulating the immune system,
       and/or

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- at least one third moiety optimising presentation of the modified CEA to the immune system.
- 16. The method according to any one of the preceding claims, wherein the modified CEA polypeptide includes duplication of at least one B-cell epitope or of at least one CTL epitope of the autologous CEA.
- 17. The method according to any one of the preceding claims, wherein the first and/or, where applicable, second foreign  $T_{\rm H}$  epitope(s) is/are immunodominant and/or wherein the first and/or, where applicable, second foreign  $T_{\rm H}$  epitope(s) is/are promiscuous.
- 18. The method according to any one of the preceding claims, wherein the modified CEA polypeptide is provided by introduction of a foreign  $T_{\rm H}$  epitope that is introduced in any one of the following regions of CEA:
  - in the C-terminus,

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- in the N-terminus,
- in the loop structures in any one of domains 1-7 as shown in Fig. 1, and
- 20 between any two adjacent domains of CEA.
  - 19. The method according to claim 18, wherein the foreign  $T_{\mbox{\scriptsize H}}$  epitope is introduced
    - as an addition to the C- or N-terminus of mature CEA;

- as an insertion before any one of CEA amino acids 1, 38, 39, 40, 41, 42, 111, 148, 149, 150, 151, 203, 326, 327, 328, 329, 381, 418, 419, 420, 421, 464, 504, 505, 506, 507, 559, 596, 597, 598, and 643;
- as a substitution that includes deletion of any one or all of amino acids 38, 39, 40, and 41;
  - as a substitution that includes deletion of any or all of amino acids 148, 149, and 150;
- as a substitution that includes deletion of any one or all of amino acids 326, 327, and 328;
  - as a substitution that includes deletion of any or all of amino acids 418, 419, and 420;
  - as a substitution that includes deletion of any or all of amino acis 504, 505, and 506; and
- as a substitution that includes deletion of any one or all of amino acids 596 and 597,

wherein the amino acid numbering corresponds to that of SEQ ID NO: 2.

- 20. The method according to any one of the preceding claims
  20 wherein the C-terminal GPI-anchor of CEA is preserved in the modified CEA polypeptide.
  - 21. The method according to any one of claims 1-19, wherein the C-terminal GPI-anchor of CEA is removed.

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- 22. The method according to any one of claims 11-19, wherein foreign  $T_{\rm H}$  epitope(s) is/are selected from a natural  $T_{\rm H}$  epitope and an artificial MHC-II binding peptide sequence
- 23. The method according to claim 22, wherein the natural T5 cell epitope is selected from a Tetanus toxoid epitope, a
  diphtheria toxoid epitope, an influenza virus hemagluttinin
  epitope, and a P. falciparum CS epitope.
- 24. The method according to any one the preceding claims, wherein non-CEA derived components such as foreign  $T_{\rm H}$  epitopes or first, second and third moieties as defined in claim 15 are present in the form of
  - side groups attached covalently or non-covalently to suitable chemical groups in the amino acid sequence of the autologous CEA or a subsequence thereof, and/or
- fusion partners to the amino acid sequence derived from the autologous CEA.
  - 25. The method according to claim 24, wherein

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- the first moiety is a substantially specific binding partner for an APC specific surface antigen such as a carbohydrate for which there is a receptor on the APC, e.g. mannan or mannose, or wherein the first moiety is a hapten,
- the second moiety is a cytokine selected from interferon γ (IFN-γ), Flt3L, interleukin 1 (IL-1), interleukin 2 (IL-2), interleukin 4 (IL-4), interleukin 6 (IL-6), interleukin 12 (IL-12), interleukin 13 (IL-13), interleukin 15 (IL-15), and granulocyte-macrophage colony stimulating

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factor (GM-CSF), or an effective part thereof; a heat-shock protein selected from heat shock protein 70 (HSP70), heat shock protein 90 (HSP90), heat shock cognate 70 (HSC70), glucose-regulated protein 94 (GRP94), and calreticulin (CRT), or an effective part thereof; or a hormone,

- the third moiety is a lipid such as a palmitoyl group, a myristyl group, a farnesyl group, a geranyl-geranyl group, a GPI-anchor, and an N-acyl diglyceride group.
- 10 26. The method according to claim any one the preceding claims, wherein the modified CEA polypeptide substantially preserves the 3-dimensional structure of at least one of CEA domains 1-7.
- 27. The method according to claim 26, wherein the 3-dimen15 sional structures of at least 4 of CEA domains 1-7 is substantially preserved, preferably those of domains 1-4.
  - 28. The method according to claim 27, wherein the 3-dimensional structures of all CEA domains are substantially preserved.
- 20 29. The method according to any one of the preceding claims, comprising administering, to the animal, an immunogenically effective amount of the at least one modified CEA polypeptide.
- 30. The method according to claim 29, wherein said modified CEA is formulated together with a pharmaceutically and immu25 nologically acceptable carrier and/or vehicle and, optionally an adjuvant.

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- 31. The method according to claim 30, wherein the adjuvant is selected from the group consisting of an immune targeting adjuvant; an immune modulating adjuvant such as a toxin, a cytokine, and a mycobacterial derivative; an oil formulation; a polymer; a micelle forming adjuvant; a saponin; an immunostimulating complex matrix (ISCOM matrix); a particle; DDA; aluminium adjuvants; DNA adjuvants; γ-inulin; and an encapsulating adjuvant.
- 32. The method according to claim 31, wherein the cytokine is 10 as defined as in claim 25, or an effective part thereof, wherein the toxin is selected from the group consisting of listeriolycin (LLO), Lipid A (MPL, L180.5/RalLPS), and heatlabile enterotoxin, wherein the mycobacterial derivative is selected from the group consisting of muramyl dipeptide, com-15 plete Freund's adjuvant, RIBI, and a diester of trehalose such as TDM and TDE, wherein the immune targeting adjuvant is selected from the group consisting of CD40 ligand, CD40 antibodies or specifically binding fragments thereof, mannose, a Fab fragment, and CTLA-4, wherein the oil formulation comprises 20 squalene or incomplete Freund's adjuvant, wherein the polymer is selected from the group consisting of a carbohydrate such as dextran, PEG, starch, mannan, and mannose; a plastic polymer; and latex such as latex beads, wherein the saponin is Quillaja saponaria saponin, Quil A, and QS21, and wherein the 25 particle comprises latex or dextran.
- 33. The method according to any one of claims 29-32, which includes administration via a route selected from the oral route and the parenteral route such as the intracutaneous, the subcutaneous, the peritoneal, the buccal, the sublingual, the spinal, the anal, and the intracranial routes.

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- 34. The method according to any of claim 29-33, which includes at least one administration a year, such as at least 2, 3, 4, 5, 6, and 12 administrations a year.
- 35. The method according to any one of claims 1-28, comprising administering, to the animal, a non-pathogenic microorganism or virus which is carrying a nucleic acid fragment encoding and expressing the at least one modified CEA polypeptide.
- 36. The method according to claim 35, wherein the non-pathogenic microorganism or virus is administered once to the ani-
  - 37. The method according to any one of claims 1-28, comprising administering, to the animal, at least one nucleic acid fragment which encodes and expresses the at least one modified CEA polypeptide.
- 15 38. The method according to claim 37, wherein the at least one nucleic acid fragment is selected from naked DNA, DNA formulated with charged or uncharged lipids, DNA formulated in liposomes, emulsified DNA, DNA included in a viral vector, DNA formulated with a transfection-facilitating protein or polypeptide, DNA formulated with a targeting protein or polypeptide, DNA formulated with a targeting carbohydrate, DNA formulated with Calcium precipitating agents, DNA coupled to an inert carrier molecule, and DNA formulated with an adjuvant.
- 39. The method according to claim 38, wherein the adjuvant is selected from the group consisting of the adjuvants defined in any one of claims 31 or 32.
  - 40. The method according to any claim 38 or 39, wherein the mode of administration is as defined in claim 33 or 34.

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- 41. A modified human CEA polypeptide that is capable of inducing an immune response against autologous CEA in a human subject, comprising at least about 80 CEA-derived amino acids, either in the form of at least about 80 consecutive CEA-derived amino acids or in the form of at least about 80 amino acids constituted of uninterrupted CEA-derived CTL epitopes, and at least one first non-human T helper epitope (TH epitope).
- 42. The modified human CEA polypeptide according to claim 41, wherein the at least one foreign  $T_{\rm H}$  epitope is present as an 10 insertion in the CEA amino acid sequence or as a substitution of part of the CEA amino acid sequence or as the result of deletion of part of the CEA amino acid sequence.
- 43. The modified human CEA according to claim 41 or 42, comprising at least 100 CEA derived amino acids, such as at least 15, such as at least about 120, 140, 160 or 180 amino acids, preferably at least about 200, such as at least about 220, 250, 300, 400, or 500 amino acids.
- 44. The modified human CEA according to any one of claims 41-43, which comprises at least one substantially preserved CEA 20 domain.
  - 45. The modified human CEA according to claim 44, which comprises at least 3 substantially preserved CEA domains, preferably domains 1-3.
- 46. The modified human CEA according to claim 45, comprising 25 all 7 CEA domains in substantially preserved form.
  - 47. The modified human CEA according to any one of claims 41-46, wherein the at least one foreign  $T_{\text{H}}$  epitope is introduced in any one of the following regions of CEA:

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- between the C-terminal membrane anchor and domain 7
- in the loop structures in any one of domains 1-7 as shown in Fig. 1, and

between any two adjacent loops in CEA.

- 5 48. An immunogenic composition which comprises, as an effective immunogenic agent the modified human CEA according to any one of claims 41-47 in admixture with a pharmaceutically and immunologically acceptable carrier or vehicle, and optionally an adjuvant.
- 10 49. A nucleic acid fragment which encodes a modified CEA polypeptide according to any one of claims 41-47.
  - 50. A vector carrying the nucleic acid fragment according to claim 49.
- 51. The vector according to claim 50 being capable of autono-15 mous replication.
  - 52. The vector according to claim 50 or 51 being selected from the group consisting of a plasmid, a phage, a cosmid, a minichromosome, and a virus.
- 53. The vector according to any one of claims 50-52, compri-20 sing, in the 5'→3' direction and in operable linkage, a promoter for driving expression of the nucleic acid fragment according to claim 49, optionally a nucleic acid sequence encoding a leader peptide enabling secretion of or integration into the membrane of the polypeptide fragment, the nucleic 25 acid fragment according to claim 49, and optionally a nucleic

acid sequence encoding a terminator.

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54. The vector according to any one of claims 50-53 which, when introduced into a host cell, is integrated in the host cell genome or is not capable of being integrated in the host cell genome.

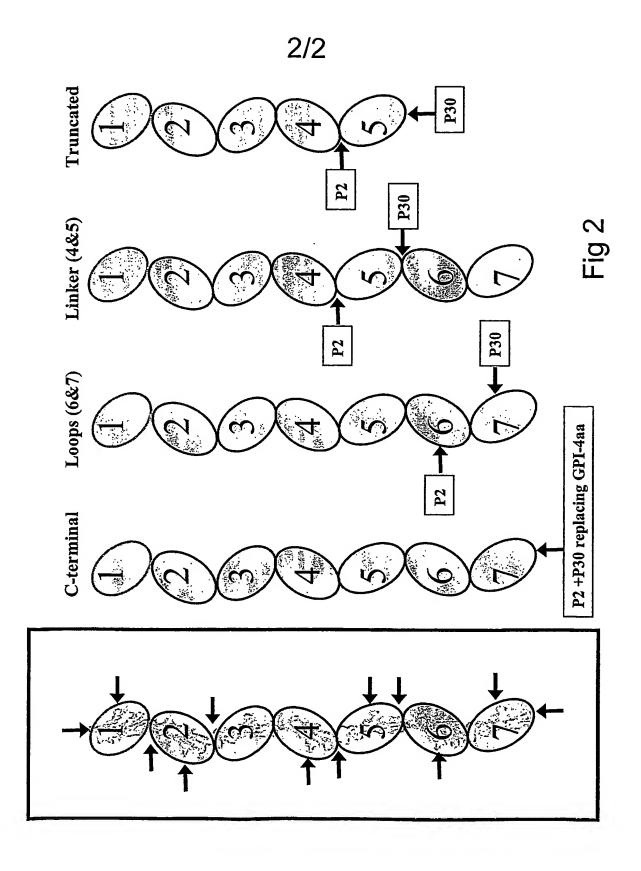
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- 5 55. A transformed cell carrying the vector of any one of claims 50-54.
  - 56. A composition for inducing production of antibodies against CEA, the composition comprising
- a nucleic acid fragment according to claim 49 or a vector according to any one of claims 50-54, and
  - a pharmaceutically and immunologically acceptable diluent and/or vehicle and/or adjuvant.
- 57. A stable cell line which carries the vector according to any one of claims 50-54 and which expresses the nucleic acid fragment according to claim 49, and which optionally secretes or carries the modified CEA according to any one of claims 41-47 on its surface.
- 58. A method for the preparation of the cell line according to claim 57, the method comprising transforming a host cell with 20 the nucleic acid fragment according to claim 49 or with the
  - vector according to any one of claims 50-54.

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MHC class I corr.	K35	R72-G75	P145	N182-S184 *	P237	Ø	Ø	N360-S362*	P415	D452-N454	A498	G538-S540	P593	N630-G631	S677	Fig. 1
Struct. Design	K35	R72-G75	P142	N182-L185	P237	N274-F277	P322	N360-L363	P415	D452-I455	A498	G538-L541	P593	N630-P633	2421	•
Region	N-term	Loop 1	Linker 1	Loop 2	Linker 2	Loop 3	Linker 3	Loop 4	Linker 4	Loop 5	Linker 5	Loop 6	Linker 6	Loop 7	C-term.	
Domain			80	7				7		S. C.	400					<b>~</b> ▼ 司



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		ccc Pro														165
		ctc Leu -15														213
gcc Ala -1	aag Lys 1	ctc Leu	act Thr	att Ile	gaa Glu 5	tcc Ser	acg Thr	ccg Pro	ttc Phe	aat Asn 10	gtc Val	gca Ala	gag Glu	Gly ggg	aag Lys 15	261
gag Glu	gtg Val	ctt Leu	cta Leu	ctt Leu 20	gtc Val	cac His	aat Asn	ctg Leu	ccc Pro 25	cag Gln	cat His	ctt Leu	ttt Phe	ggc Gly 30	tac Tyr	309

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agc Ser	tgg Trp	tac Tyr	aaa Lys 35	ggt Gly	gaa Glu	aga Arg	gtg Val	gat Asp 40	ggc Gly	aac Asn	cgt Arg	caa Gln	att Ile 45	ata Ile	gga Gly	357
tat Tyr	gta Val	ata Ile 50	gga Gly	act Thr	caa Gln	caa Gln	gct Ala 55	acc Thr	cca Pro	ggg	ccc Pro	gca Ala 60	tac Tyr	agt Ser	ggt Gly	405
cga Arg	gag Glu 65	ata Ile	ata Ile	tac Tyr	ccc Pro	aat Asn 70	gca Ala	tcc Ser	ctg Leu	ctg Leu	atc Ile 75	cag Gln	aac Asn	atc Ile	atc Ile	453
cag Gln 80	aat Asn	gac Asp	aca Thr	gga Gly	ttc Phe 85	tac Tyr	acc Thr	cta Leu	cac His	gtc Val 90	ata Ile	aag Lys	tca Ser	gat Asp	ctt Leu 95	501
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										aac Asn						1653
gag Glu 480	gac Asp	aag Lys	gat Asp	gct Ala	gtg Val 485	gcc Ala	ttc Phe	acc Thr	tgt Cys	gaa Glu 490	cct Pro	gag Glu	gct Ala	cag Gln	aac Asn 495	1701

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WO 03/059379 PCT/DK03/00031

aca Thr	acc Thr	tac Tyr	ctg Leu	tgg Trp 500	tgg Trp	gta Val	aat Asn	ggt Gly	cag Gln 505	agc Ser	ctc Leu	cca Pro	gtc Val	agt Ser 510	ccc Pro	1749
agg Arg	ctg Leu	cag Gln	ctg Leu 515	tcc Ser	aat Asn	ggc Gly	aac Asn	agg Arg 520	acc Thr	ctc Leu	act Thr	cta Leu	ttc Phe 525	aat Asn	gtc Val	1797
aca Thr	aga Arg	aat Asn 530	gac Asp	gca Ala	aga Arg	gcc Ala	tat Tyr 535	gta Val	tgt Cys	gga Gly	atc Ile	cag Gln 540	aac Asn	tca Ser	gtg Val	1845
agt Ser	gca Ala 545	aac Asn	cgc Arg	agt Ser	gac Asp	cca Pro 550	gtc Val	acc Thr	ctg Leu	gat Asp	gtc Val 555	ctc Leu	tat Tyr	ggg Gly	ccg Pro	1893
gac Asp 560	acc Thr	ccc Pro	atc Ile	att Ile	tcc Ser 565	ccc Pro	cca Pro	gac Asp	tcg Ser	tct Ser 570	tac Tyr	ctt Leu	tcg Ser	gga Gly	gcg Ala 575	1941
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tct Ser	tgg Trp	cgt Arg	atc Ile 595	aat Asn	Gly	ata Ile	ccg Pro	cag Gln 600	caa Gln	cac His	aca Thr	caa Gln	gtt Val 605	ctc Leu	ttt Phe	2037
atc Ile	gcc Ala	aaa Lys 610	Ile	acg Thr	cca Pro	aat Asn	aat Asn 615	aac Asn	Gly	acc Thr	tat Tyr	gcc Ala 620	Cys	ttt Phe	gtc Val	2085
tct Ser	aac Asn 625	Leu	gct Ala	act Thr	ggc Gly	cgc Arg 630	Asn	aat Asn	tcc Ser	ata Ile	gtc Val 635	aag Lys	agc Ser	atc Ile	aca Thr	2133
gtc Val 640	Ser	gca Ala	tct Ser	gga Gly	act Thr 645	Ser	cct Pro	ggt	ctc Leu	tca Ser 650	Ala	ggg Gly	gcc Ala	act Thr	gtc Val 655	2181
ggc Gly	atc	atg Met	att	gga Gly 660	Val	ctg Leu	gtt Val	ggg	gtt Val 665	Ala	ctg Leu	ata Ile	tag	cago	cct	2230
ggt	gtag	ttt	cttc	attt	ca g	gaag	actg	a ca	gttg	tttt	gct	tctt	cct	taaa	gcattt	2290
gca	acag	cta	cagt	ctaa	aa t	tgct	tctt	t ac	caag	gata	ttt	acaç	gaaa	agac	tctgac	2350
cag	agat	cga	gaco	atco	ta g	ccaa	cato	g tg	aaac	ccca	tct	ctac	taa	aaat	acaaaa	2410
atg	agct	ggg	cttg	gtgg	cg c	gcac	ctgt	a gt	ссса	gtta	cto	ggga	ggc	tgag	gcagga	2470
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agt	ctgg	ıcaa	caga	agcaa	iga c	tcca	tctc	a aa	aaga	aaag	aaa	agaa	gac	tctg	acctgt	2590
act	ctto	gaat	acaa	agttt	ct c	ratac	cact	g ca	ctgt	ctga	gaa	tttc	ccaa	aact	ttaatg	2650
aac	taac	etga	cago	cttca	itg a	aact	gtco	a co	caaga	tcaa	gca	ıgaga	aaaa	taat	taattt	2710

catgggacta	aatgaactaa	tgaggattgc	tgattcttta	aatgtcttgt	ttcccagatt	2770
tcaggaaact	tttttcttt	taagctatcc	actcttacag	caatttgata	aaatatactt	2830
ttgtgaacaa	aaattgagac	atttacattt	tctccctatg	tggtcgctcc	agacttggga	2890
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<210> 2

<211> 702

<212> PRT

<213> Homo sapiens

<400> 2

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-15 -10 -5

Thr Ala Lys Leu Thr Ile Glu Ser Thr Pro Phe Asn Val Ala Glu Gly
-1 1 5 10

Lys Glu Val Leu Leu Leu Val His Asn Leu Pro Gln His Leu Phe Gly 15 20 25 30

Tyr Ser Trp Tyr Lys Gly Glu Arg Val Asp Gly Asn Arg Gln Ile Ile 35 40 45

Gly Tyr Val Ile Gly Thr Gln Gln Ala Thr Pro Gly Pro Ala Tyr Ser 50 55 60

Gly Arg Glu Ile Ile Tyr Pro Asn Ala Ser Leu Leu Ile Gln Asn Ile
65 70 75

Ile Gln Asn Asp Thr Gly Phe Tyr Thr Leu His Val Ile Lys Ser Asp 80 85 90

Leu Val Asn Glu Glu Ala Thr Gly Gln Phe Arg Val Tyr Pro Glu Leu 95 100 105 110

Pro Lys Pro Ser Ile Ser Ser Asn Asn Ser Lys Pro Val Glu Asp Lys 115 120 125

Asp	Ala	Val	Ala 130	Phe	Thr	Cys	Glu	Pro 135	Glu	Thr	Gln	Asp	Ala 140	Thr	Tyr
Leu	Trp	Trp 145	Val	Asn	Asn	Gln	Ser 150	Leu	Pro	Val	Ser	Pro 155	Arg	Leu	Gln
Leu	Ser 160	Asn	Gly	Asn	Arg	Thr 165	Leu	Thr	Leu	Phe	Asn 170	Val	Thr	Arg	Asn
Asp 175	Thr	Ala	Ser	Tyr	Lys 180	Cys	Glu	Thr	Gln	Asn 185	Pro	Val	Ser	Ala	Arg 190
Arg	Ser	Asp	Ser	Val 195	Ile	Leu	Asn	Val	Leu 200	Tyr	Gly	Pro	Asp	Ala 205	Pro
Thr	Ile	Ser	Pro 210		Asn	Thr	Ser	Tyr 215	Arg	Ser	Gly	Glu	Asn 220	Leu	Asn
Leu	Ser	Cys 225		Ala	Ala	Ser	Asn 230	Pro	Pro	Ala	Gln	Tyr 235	Ser	Trp	Phe
Val	Asn 240		Thr	Phe	Gln	Gln 245		Thr	Gln	Glu	Leu 250	Phe	Ile	Pro	Asn
Ile 255		Val	. Asn	Asn	Ser 260		Ser	Tyr	Thr	Cys 265	Gln	Ala	His	Asn	Ser 270
Asp	Thr	Gly	, Leu	275		Thr		Val	Thr 280	Thr	Ile	Thr	Val	Tyr 285	Ala
Glu	Pro	Pro	290		Phe	Ile	. Thr	Ser 295		Asn	Ser	Asn	Pro 300	Val	Glu
Asp	Glu	Asp 305	_	a Val	. Ala	Leu	Thr 310		Glu	Pro	Glu	Ile 315	Gln	Asn	Thr
Thi	Tyr 320		ı Trp	Trp	Val	. Asr 325		Gln	Ser	Leu	330	Val	. Ser	Pro	Arg
Lei	ı Glr	ı Leı	ı Sei	c Asr	n Asp	Asr	n Arg	Thr	Lev	Thr	Leu	Let	. Ser	Val	Thr

Arg Asn Asp Val Gly Pro Tyr Glu Cys Gly Ile Gln Asn Glu Leu Ser

Val Asp His Ser Asp Pro Val Ile Leu Asn Val Leu Tyr Gly Pro Asp 370 375 380

Asp Pro Thr Ile Ser Pro Ser Tyr Thr Tyr Tyr Arg Pro Gly Val Asn 385 390 395

Leu Ser Leu Ser Cys His Ala Ala Ser Asn Pro Pro Ala Gln Tyr Ser 400 405 410

Trp Leu Ile Asp Gly Asn Ile Gln Gln His Thr Gln Glu Leu Phe Ile 415 420 425 430

Ser Asn Ile Thr Glu Lys Asn Ser Gly Leu Tyr Thr Cys Gln Ala Asn 435 440 445

Asn Ser Ala Ser Gly His Ser Arg Thr Thr Val Lys Thr Ile Thr Val 450 455 460

Ser Ala Glu Leu Pro Lys Pro Ser Ile Ser Ser Asn Asn Ser Lys Pro 465 470 475

Val Glu Asp Lys Asp Ala Val Ala Phe Thr Cys Glu Pro Glu Ala Gln 480 485 490

Asn Thr Thr Tyr Leu Trp Trp Val Asn Gly Gln Ser Leu Pro Val Ser 495 500 505 510

Pro Arg Leu Gln Leu Ser Asn Gly Asn Arg Thr Leu Thr Leu Phe Asn 515 520 525

Val Thr Arg Asn Asp Ala Arg Ala Tyr Val Cys Gly Ile Gln Asn Ser 530 535

Val Ser Ala Asn Arg Ser Asp Pro Val Thr Leu Asp Val Leu Tyr Gly 545 550 555

Pro Asp Thr Pro Ile Ile Ser Pro Pro Asp Ser Ser Tyr Leu Ser Gly 560 570

Ala Asn Leu Asn Leu Ser Cys His Ser Ala Ser Asn Pro Ser Pro Gln 575 580 585 590

Tyr Ser Trp Arg Ile Asn Gly Ile Pro Gln Gln His Thr Gln Val Leu 595 600 605 8

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Phe Ile Ala Lys Ile Thr Pro Asn Asn Gly Thr Tyr Ala Cys Phe 615 610 Val Ser Asn Leu Ala Thr Gly Arg Asn Asn Ser Ile Val Lys Ser Ile 630 625 Thr Val Ser Ala Ser Gly Thr Ser Pro Gly Leu Ser Ala Gly Ala Thr 650 645 640 Val Gly Ile Met Ile Gly Val Leu Val Gly Val Ala Leu Ile 660 <210> 3 <211> 2059 <212> DNA <213> Artificial sequence <220> <223> Human CEA-encoding DNA sequence codon optimized for non-human expression <220> <221> CDS <222> (11)..(2053) <220> <221> sig\_peptide <222> (11)..(112) <220> <221> mat peptide <222> (113)..(2053) gctagccacc atg gaa agt ccc tca gcc cca ccc cac cgc tgg tgt att 49 Met Glu Ser Pro Ser Ala Pro Pro His Arg Trp Cys Ile -30 cct tgg cag cgc ctg ctc ctg aca gca agc ctg ctg acc ttt tgg aat 97 Pro Trp Gln Arg Leu Leu Thr Ala Ser Leu Leu Thr Phe Trp Asn -15 cca ccc acg aca gcc aaa ctg act atc gag tct act cct ttc aac gtg 145 Pro Pro Thr Thr Ala Lys Leu Thr Ile Glu Ser Thr Pro Phe Asn Val -1 1 gcg gaa ggg aag gat ctg ctc ctg gtc cat aat ctg cca cag cac 193 Ala Glu Gly Lys Glu Val Leu Leu Leu Val His Asn Leu Pro Gln His 25 20 15

ctg Leu	ttt Phe	ggt Gly 30	tat Tyr	tca Ser	tgg Trp	tac Tyr	aaa Lys 35	ggc Gly	gaa Glu	cgc Arg	gtg Val	gat Asp 40	gga Gly	aac Asn	cgg Arg	241
caa Gln	att Ile 45	atc Ile	Gly ggg	tat Tyr	gtt Val	atc Ile 50	ggc Gly	aca Thr	cag Gln	cag Gln	gcc Ala 55	aca Thr	ccc Pro	ggt Gly	ccg Pro	289
gct Ala 60	tac Tyr	agt Ser	Gly aaa	cgc Arg	gaa Glu 65	att Ile	atc Ile	tat Tyr	cca Pro	aat Asn 70	gcc Ala	agc Ser	ctg Leu	ctg Leu	atc Ile 75	337
caa Gln	aac Asn	att Ile	atc Ile	cag Gln 80	aat Asn	gac Asp	act Thr	ggc Gly	ttc Phe 85	tac Tyr	aca Thr	ctg Leu	cat His	gtc Val 90	atc Ile	385
aaa Lys	agc Ser	gat Asp	ctg Leu 95	gtg Val	aac Asn	gag Glu	gaa Glu	gca Ala 100	acg Thr	ggt Gly	cag Gln	ttt Phe	cgg Arg 105	gtt Val	tat Tyr	433
ccc Pro	gaa Glu	ctg Leu 110	cct Pro	aag Lys	cca Pro	tca Ser	att Ile 115	tct Ser	tcc Ser	aat Asn	aac Asn	agt Ser 120	aaa Lys	ccc Pro	gtc Val	481
gaa Glu	gac Asp 125	aaa Lys	gac Asp	gcc Ala	gtg Val	gct Ala 130	ttc Phe	acg Thr	tgc Cys	gag Glu	cct Pro 135	gaa Glu	aca Thr	caa Gln	gat Asp	529
gca Ala 140	act Thr	tac Tyr	ctg Leu	tgg Trp	tgg Trp 145	gtt Val	aac Asn	aat Asn	cag Gln	agc Ser 150	ctg Leu	cca Pro	gtc Val	tca Ser	ccc Pro 155	577
cgc Arg	ctg Leu	cag Gln	ctg Leu	agc Ser 160	aac Asn	gga Gly	aat Asn	cgg Arg	acc Thr 165	ctg Leu	aca Thr	ctc Leu	ttt Phe	aac Asn 170	gtg Val	625
acg Thr	cgc Arg	Asn	gac Asp 175	Thr	gcc Ala	Ser	Tyr	Lys	Cys	Glu	Thr	caa Gln	Asn	cct Pro	gtt Val	673
tca Ser	gcg Ala	cgg Arg 190	Arg	agc Ser	gat Asp	agt Ser	gtt Val 195	atc Ile	ctg Leu	aat Asn	gtg Val	ctg Leu 200	tac Tyr	ggc	cca Pro	721
gac Asp	gct Ala 205	Pro	acc Thr	att Ile	tcc Ser	ccg Pro 210	ctg Leu	aac Asn	act Thr	tct Ser	tat Tyr 215	cgc Arg	tca Ser	GJÀ āāā	gaa Glu	769
aat Asn 220	ctg Leu	aac Asn	ctg Leu	agc Ser	tgc Cys 225	cac His	gcc Ala	gca Ala	agc Ser	aat Asn 230	cca Pro	ccc Pro	gcg Ala	cag Gln	tac Tyr 235	817
agt Ser	tgg Trp	ttc Phe	gtc Val	aac Asn 240	Gly	aca Thr	ttt Phe	cag Gln	caa Gln 245	Ser	act Thr	cag Gln	gaa Glu	ctg Leu 250	ttc Phe	865
atc Ile	cct Pro	aat Asn	att Ile 255	Thr	gtt Val	aac Asn	aat Asn	tca Ser 260	Gly	agc Ser	tat Tyr	aca Thr	tgt Cys 265	cag Gln	gct Ala	913

cat His	aac Asn	tcc Ser 270	gac Asp	aca Thr	G] À āāā	ctg Leu	aat Asn 275	cgg Arg	act Thr	aca Thr	gtg Val	acg Thr 280	acg Thr	atc Ile	aca Thr	961
gtc Val	tac Tyr 285	gcc Ala	gag Glu	cca Pro	ccc Pro	aaa Lys 290	ccc Pro	ttt Phe	att Ile	act Thr	tct Ser 295	aac Asn	aat Asn	agt Ser	aac Asn	1009
cca Pro 300	gtt Val	gaa Glu	gat Asp	gag Glu	gac Asp 305	gca Ala	gtg Val	gct Ala	ctg Leu	acc Thr 310	tgc Cys	gaa Glu	cct Pro	gag Glu	atc Ile 315	1057
caa Gln	aat Asn	aca Thr	acc Thr	tat Tyr 320	ctg Leu	tgg Trp	tgg Trp	gtc Val	aac Asn 325	aat Asn	cag Gln	tca Ser	ctg Leu	cca Pro 330	gtt Val	1105
agc Ser	ccc Pro	cgc Arg	ctg Leu 335	cag Gln	ctc Leu	agc Ser	aac Asn	gac Asp 340	aat Asn	cgg Arg	act Thr	ctg Leu	acg Thr 345	ctg Leu	ctc Leu	1153
tct Ser	gtg Val	aca Thr 350	cgc Arg	aac Asn	gat Asp	gtc Val	ggt Gly 355	cca Pro	tac Tyr	gaa Glu	tgt Cys	ggc Gly 360	att Ile	caa Gln	aat Asn	1201
gaa Glu	ctg Leu 365	Ser	gtt Val	gac Asp	cac His	agt Ser 370	gat Asp	ccc Pro	gtg Val	atc Ile	ctg Leu 375	aac Asn	gtc Val	ctg Leu	tat Tyr	1249
gga Gly 380	Pro	gac Asp	gac Asp	cct Pro	acc Thr 385	att Ile	agc Ser	cca Pro	tcc Ser	tac Tyr 390	act Thr	tat Tyr	tac Tyr	cgg Arg	ccc Pro 395	1297
Gly	gtt Val	aat Asn	ctg Leu	tct Ser 400	Leu	agc Ser	tgc Cys	cat His	gcc Ala 405	Ala	tca Ser	aac Asn	cca Pro	ccc Pro 410	gca Ala	1345
cag Gln	tac Tyr	ago Ser	tgg Trp 415	Leu	atc Ile	gat Asp	Gly	aat Asn 420	Ile	cag Gln	caa Gln	cac His	aca Thr 425	Gin	gag Glu	1393
ctg Leu	ttc Phe	ato 11e 430	Ser	aac	att Ile	aca Thr	gaa Glu 435	Lys	aat Asn	tct Ser	gga	ctg Leu 440	Tyr	acg Thr	tgt Cys	1441
caç Glr	gct Ala 445	Asr	aat Asn	tco Ser	gcc Ala	ago Ser 450	. GJ?	cat His	ago Ser	cgc Arg	t aca Thr 455	Thr	gtg Val	aag Lys	acg Thr	1489
ato Ile 460	Thr	gto Val	c tct L Ser	geo Ala	gag Glu 465	ı Lev	r ccc	g aaa D Lys	cca Pro	agt Ser 470	: Ile	tca Ser	tco Ser	aac Asr	aat Asn 475	1537
ago Sei	c aag Lys	g cco s Pro	c gtt o Val	gaa Glu 480	ı Asp	aaa Lys	gat Asp	gca Ala	a gtg a Val 485	. Ala	ttt Phe	aca Thi	tgo Cys	gaç Glu 490	g cca 1 Pro	1585

gaa Glu	gct Ala	caa Gln	aac Asn 495	act Thr	acg Thr	tac Tyr	ctg Leu	tgg Trp 500	tgg Trp	gtc Val	aat Asn	ggc Gly	cag Gln 505	tct Ser	ctg Leu	16	533
ccc Pro	gtt Val	agc Ser 510	cca Pro	cgg Arg	ctc Leu	cag Gln	ctg Leu 515	agt Ser	aac Asn	ggg Gly	aat Asn	cgc Arg 520	aca Thr	ctg Leu	acc Thr	16	581
ctg Leu	ttc Phe 525	aac Asn	gtg Val	acc Thr	cgg Arg	aat Asn 530	gac Asp	gcg Ala	cgc Arg	gcc Ala	tat Tyr 535	gtc Val	tgt Cys	gga Gly	atc Ile	17	729
caa Gln 540	Asn	tcc Ser	gtt Val	agc Ser	gca Ala 545	aat Asn	cgg Arg	tct Ser	gac Asp	cct Pro 550	gtg Val	aca Thr	ctg Leu	gat Asp	gtc Val 555	1	777
ctg Leu	tac Tyr	ggc	cca Pro	gac Asp 560	act Thr	ccc Pro	att Ile	atc Ile	tca Ser 565	Pro	ccc Pro	gat Asp	agc Ser	agt Ser 570	tat Tyr	1	825
ctg Leu	agc Ser	ggt Gly	gct Ala 575	Asn	ctg Leu	aat Asn	ctc Leu	tct Ser 580	Cys	cac	tcc Ser	gcc Ala	tca Ser 585	aac Asn	cct Pro	1	873
tct Ser	cca Pro	cag Gln 590	Tyr	agc Ser	tgg Trp	cgc Arg	atc Ile 595	Asn	ggg	att	ccc Pro	cag Gln 600	GIN	cat His	acg Thr	1	921
cag Gln	gtt Val 605	Leu	ttt Phe	atc Ile	gca Ala	aaa Lys 610	Ile	aca Thr	cca Pro	aac Asn	aat Asn 615	Asn	ggc Gly	acc Thr	tat	1	969
gcg Ala 620	Cys	ttc Phe	gtg Val	agt Ser	aac Asn 625	Leu	gcc Ala	act Thr	gga Gly	cgg Arg 630	Asn	aac Asn	ago Ser	ato	gtc Val 635	2	2017
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<211> 681

<212> PRT

<213> Artificial sequence

<220>

Human CEA-encoding DNA sequence codon optimized for non-human <223> expression

<400> 4

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  -1 1 5 10
- Lys Glu Val Leu Leu Val His Asn Leu Pro Gln His Leu Phe Gly 15 20 25 30
- Tyr Ser Trp Tyr Lys Gly Glu Arg Val Asp Gly Asn Arg Gln Ile Ile 35 40 45
- Gly Tyr Val Ile Gly Thr Gln Gln Ala Thr Pro Gly Pro Ala Tyr Ser 50 55 60
- Gly Arg Glu Ile Ile Tyr Pro Asn Ala Ser Leu Leu Ile Gln Asn Ile 65 70 75
- Ile Gln Asn Asp Thr Gly Phe Tyr Thr Leu His Val Ile Lys Ser Asp 80 85 90
- Leu Val Asn Glu Glu Ala Thr Gly Gln Phe Arg Val Tyr Pro Glu Leu 95 100 105 110
- Pro Lys Pro Ser Ile Ser Ser Asn Asn Ser Lys Pro Val Glu Asp Lys 115 120 125
- Asp Ala Val Ala Phe Thr Cys Glu Pro Glu Thr Gln Asp Ala Thr Tyr 130 135 140
- Leu Trp Trp Val Asn Asn Gln Ser Leu Pro Val Ser Pro Arg Leu Gln 145 150 155
- Leu Ser Asn Gly Asn Arg Thr Leu Thr Leu Phe Asn Val Thr Arg Asn 160 165 170
- Asp Thr Ala Ser Tyr Lys Cys Glu Thr Gln Asn Pro Val Ser Ala Arg 175 180 185 190
- Arg Ser Asp Ser Val Ile Leu Asn Val Leu Tyr Gly Pro Asp Ala Pro 195 200 205
- Thr Ile Ser Pro Leu Asn Thr Ser Tyr Arg Ser Gly Glu Asn Leu Asn 210 215 220
- Leu Ser Cys His Ala Ala Ser Asn Pro Pro Ala Gln Tyr Ser Trp Phe 225 230 235

Val Asn Gly Thr Phe Gln Gln Ser Thr Gln Glu Leu Phe Ile Pro Asn Ile Thr Val Asn Asn Ser Gly Ser Tyr Thr Cys Gln Ala His Asn Ser Asp Thr Gly Leu Asn Arg Thr Thr Val Thr Thr Ile Thr Val Tyr Ala Glu Pro Pro Lys Pro Phe Ile Thr Ser Asn Asn Ser Asn Pro Val Glu Asp Glu Asp Ala Val Ala Leu Thr Cys Glu Pro Glu Ile Gln Asn Thr Thr Tyr Leu Trp Trp Val Asn Asn Gln Ser Leu Pro Val Ser Pro Arg Leu Gln Leu Ser Asn Asp Asn Arg Thr Leu Thr Leu Leu Ser Val Thr Arg Asn Asp Val Gly Pro Tyr Glu Cys Gly Ile Gln Asn Glu Leu Ser Val Asp His Ser Asp Pro Val Ile Leu Asn Val Leu Tyr Gly Pro Asp Asp Pro Thr Ile Ser Pro Ser Tyr Thr Tyr Tyr Arg Pro Gly Val Asn Leu Ser Leu Ser Cys His Ala Ala Ser Asn Pro Pro Ala Gln Tyr Ser Trp Leu Ile Asp Gly Asn Ile Gln Gln His Thr Gln Glu Leu Phe Ile Ser Asn Ile Thr Glu Lys Asn Ser Gly Leu Tyr Thr Cys Gln Ala Asn 

Asn Ser Ala Ser Gly His Ser Arg Thr Thr Val Lys Thr Ile Thr Val

14

Ser Ala Glu Leu Pro Lys Pro Ser Ile Ser Ser Asn Asn Ser Lys Pro 465 470 475

Val Glu Asp Lys Asp Ala Val Ala Phe Thr Cys Glu Pro Glu Ala Gln 480 485 490

Asn Thr Thr Tyr Leu Trp Trp Val Asn Gly Gln Ser Leu Pro Val Ser 495 500 505 510

Pro Arg Leu Gln Leu Ser Asn Gly Asn Arg Thr Leu Thr Leu Phe Asn 515 520 525

Val Thr Arg Asn Asp Ala Arg Ala Tyr Val Cys Gly Ile Gln Asn Ser 530 535 540

Val Ser Ala Asn Arg Ser Asp Pro Val Thr Leu Asp Val Leu Tyr Gly 545 550 555

Pro Asp Thr Pro Ile Ile Ser Pro Pro Asp Ser Ser Tyr Leu Ser Gly 560 . 565 570

Ala Asn Leu Asn Leu Ser Cys His Ser Ala Ser Asn Pro Ser Pro Gln 575 580 585 590

Tyr Ser Trp Arg Ile Asn Gly Ile Pro Gln Gln His Thr Gln Val Leu
595 600 605

Phe Ile Ala Lys Ile Thr Pro Asn Asn Gly Thr Tyr Ala Cys Phe 610 615 620

Val Ser Asn Leu Ala Thr Gly Arg Asn Asn Ser Ile Val Lys Ser Ile 625 630 635

Thr Val Ser Ala Ser Gly Thr Ser Pro 640 645

<210> 5

<211> 2167

<212> DNA

<213> Artificial sequence

<220> <223> Human CEA with introduction of tetanus toxoid P2 and P30 epitopes

<220>

<221> CDS

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<223> Tetanus toxoid P30 epitope
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           Met Glu Ser Pro Ser Ala Pro Pro His Arg Trp Cys Ile
                            -30
cct tgg cag cgc ctg ctc ctg aca gca agc ctg ctg acc ttt tgg aat
                                                                       97
Pro Trp Gln Arg Leu Leu Thr Ala Ser Leu Leu Thr Phe Trp Asn
     -20
cca ccc acg aca gcc aaa ctg act atc gag tct act cct ttc aac gtg
                                                                       145
Pro Pro Thr Thr Ala Lys Leu Thr Ile Glu Ser Thr Pro Phe Asn Val
                 -1 1
 -5
gcg gaa ggg aag gat ctg ctc ctg gtc cat aat ctg cca cag cac
                                                                       193
Ala Glu Gly Lys Glu Val Leu Leu Leu Val His Asn Leu Pro Gln His
             15
ctg ttt ggt tat tca tgg tac aaa ggc gaa cgc gtg gat gga aac cgg
                                                                       241
Leu Phe Gly Tyr Ser Trp Tyr Lys Gly Glu Arg Val Asp Gly Asn Arg
 caa att atc ggg tat gtt atc ggc aca cag cag gcc aca ccc ggt ccg
                                                                       289
 Gln Ile Ile Gly Tyr Val Ile Gly Thr Gln Gln Ala Thr Pro Gly Pro
 get tac agt ggg cgc gaa att atc tat cca aat gec age etg etg atc
                                                                       337
 Ala Tyr Ser Gly Arg Glu Ile Ile Tyr Pro Asn Ala Ser Leu Leu Ile
                     65
 caa aac att atc cag aat gac act ggc ttc tac aca ctg cat gtc atc
                                                                       385
 Gln Asn Ile Ile Gln Asn Asp Thr Gly Phe Tyr Thr Leu His Val Ile
                 80
 aaa agc gat ctg gtg aac gag gaa gca acg ggt cag ttt cgg gtt tat
                                                                       433
 Lys Ser Asp Leu Val Asn Glu Glu Ala Thr Gly Gln Phe Arg Val Tyr
                                                      105
             95
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gaa g Glu A 1	ac a sp I 25	aa q ays i	gac Asp	gcc Ala	Val.	gct Ala 130	ttc Phe	acg Thr	tgc Cys	gag Glu	cct Pro 135	gaa Glu	aca Thr	caa Gln	gat Asp	529
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cat His	aac Asn	tcc Ser 270	Asp	aca Thr	ggg Gly	teto Lev	g aa 1 As: 27	n Ar	g act	t ac	a gt	g ac 1 Th 28	T 111.	g ate	c aca e Thr	961
gtc Val	tac Tyr 285	Ala	gaç Glu	g cca	a cco	29	s Pr	c tt o Ph	t at e Il	t ac e Th	t tc r Se 29	I W2	c aa n As	t ag n Se	t aac r Asn	1009
cca Pro 300	gtt Val	gaa	a gat ı Ası	t gaq p Gl	g gad u Asj 30	o Al	a gt a Va	g gc l Al	t ct a Le	g ac u Th 31	т са	c ga s Gl	a cc u Pr	t ga o Gl	g atc u Ile 315	1057
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ctg Leu	tac Tyr	ggc	cca	gac Asp 560	Thr	ccc Pro	att Ile	atc Ile	tca Ser 565	Pro	Pro	gat Asp	agc Ser	agt Ser 570	Tyr	1825
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cag gtt ctg ttt atc go Gln Val Leu Phe Ile Al 605	a aaa att aca cca a a Lys Ile Thr Pro i 610	aac aat aac ggc acc Asn Asn Asn Gly Thr 615	tat 1969 Tyr
gcg tgt ttc gtg agt aa Ala Cys Phe Val Ser As 620 62	n Leu Ala Thr Gly .	egg aat aac agc atc Arg Asn Asn Ser Ile 630	gtc 2017 Val 635
aag too att aca gtt to Lys Ser Ile Thr Val Se 640	t gca agc cag tac r Ala Ser Gln Tyr 645	atc aaa gct aac tcc Ile Lys Ala Asn Ser 650	aaa 2065 Lys
ttc atc ggc atc acc ga Phe Ile Gly Ile Thr Gl 655	a ctg ttc aac aac u Leu Phe Asn Asn 660	ttc acc gtt tcc ttc Phe Thr Val Ser Phe 665	tgg 2113 Trp
ctg cgc gtt cca aaa gt Leu Arg Val Pro Lys Va 670	t tcc gct tcc cac 1 Ser Ala Ser His 675	ctg gaa ggt acg tca Leu Glu Gly Thr Ser 680	ccc 2161 Pro
taatga			2167
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	introduction of te	etanus toxoid P2 and	P30 epitopes
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Arg Leu Leu Thr A	la Ser Leu Leu Thr -10	Phe Trp Asn Pro Pro -5	Thr
Thr Ala Lys Leu Thr I -1 1	le Glu Ser Thr Pro 5	Phe Asn Val Ala Glu 10	Gly
Lys Glu Val Leu Leu I 15 2	eu Val His Asn Leu O	Pro Gln His Leu Phe 25	Gly 30
Tyr Ser Trp Tyr Lys (			

- Gly Tyr Val Ile Gly Thr Gln Gln Ala Thr Pro Gly Pro Ala Tyr Ser 50 55 60
- Gly Arg Glu Ile Ile Tyr Pro Asn Ala Ser Leu Leu Ile Gln Asn Ile 65 70 75
- Ile Gln Asn Asp Thr Gly Phe Tyr Thr Leu His Val Ile Lys Ser Asp 80 85 90
- Leu Val Asn Glu Glu Ala Thr Gly Gln Phe Arg Val Tyr Pro Glu Leu 95 100 105 110
- Pro Lys Pro Ser Ile Ser Ser Asn Asn Ser Lys Pro Val Glu Asp Lys 115 120 125
- Asp Ala Val Ala Phe Thr Cys Glu Pro Glu Thr Gln Asp Ala Thr Tyr 130 135 140
- Leu Trp Trp Val Asn Asn Gln Ser Leu Pro Val Ser Pro Arg Leu Gln 145 150
- Leu Ser Asn Gly Asn Arg Thr Leu Thr Leu Phe Asn Val Thr Arg Asn 160 165 170
- Asp Thr Ala Ser Tyr Lys Cys Glu Thr Gln Asn Pro Val Ser Ala Arg 175 180 185 190
- Arg Ser Asp Ser Val Ile Leu Asn Val Leu Tyr Gly Pro Asp Ala Pro 195 200 205
- Thr Ile Ser Pro Leu Asn Thr Ser Tyr Arg Ser Gly Glu Asn Leu Asn 210 215 220
- Leu Ser Cys His Ala Ala Ser Asn Pro Pro Ala Gln Tyr Ser Trp Phe 225 230 235
- Val Asn Gly Thr Phe Gln Gln Ser Thr Gln Glu Leu Phe Ile Pro Asn 240 245 250
- Ile Thr Val Asn Asn Ser Gly Ser Tyr Thr Cys Gln Ala His Asn Ser 255 260 265 270
- Asp Thr Gly Leu Asn Arg Thr Thr Val Thr Thr Ile Thr Val Tyr Ala 275 280 285

20

Glu Pro Pro Lys Pro Phe Ile Thr Ser Asn Asn Ser Asn Pro Val Glu 290 295 300

Asp Glu Asp Ala Val Ala Leu Thr Cys Glu Pro Glu Ile Gln Asn Thr 305 310 315

Thr Tyr Leu Trp Trp Val Asn Asn Gln Ser Leu Pro Val Ser Pro Arg 320 325 330

Leu Gln Leu Ser Asn Asp Asn Arg Thr Leu Thr Leu Leu Ser Val Thr 335 340 345 350

Arg Asn Asp Val Gly Pro Tyr Glu Cys Gly Ile Gln Asn Glu Leu Ser 355 360 365

Val Asp His Ser Asp Pro Val Ile Leu Asn Val Leu Tyr Gly Pro Asp 370 375 380

Asp Pro Thr Ile Ser Pro Ser Tyr Thr Tyr Tyr Arg Pro Gly Val Asn 385 . 390 395

Leu Ser Leu Ser Cys His Ala Ala Ser Asn Pro Pro Ala Gln Tyr Ser 400 405 410

Trp Leu Ile Asp Gly Asn Ile Gln Gln His Thr Gln Glu Leu Phe Ile 415 420 425 430

Ser Asn Ile Thr Glu Lys Asn Ser Gly Leu Tyr Thr Cys Gln Ala Asn 435 440 . 445

Asn Ser Ala Ser Gly His Ser Arg Thr Thr Val Lys Thr Ile Thr Val 450 455 460

Ser Ala Glu Leu Pro Lys Pro Ser Ile Ser Ser Asn Asn Ser Lys Pro 465 470 475

Val Glu Asp Lys Asp Ala Val Ala Phe Thr Cys Glu Pro Glu Ala Gln 480 485 490

Asn Thr Thr Tyr Leu Trp Trp Val Asn Gly Gln Ser Leu Pro Val Ser 495 500 505 510

Pro Arg Leu Gln Leu Ser Asn Gly Asn Arg Thr Leu Thr Leu Phe Asn 515 520 525

Val Thr Arg Asn Asp Ala Arg Ala Tyr Val Cys Gly Ile Gln Asn Ser 530 540

Val Ser Ala Asn Arg Ser Asp Pro Val Thr Leu Asp Val Leu Tyr Gly 545 550 555

Pro Asp Thr Pro Ile Ile Ser Pro Pro Asp Ser Ser Tyr Leu Ser Gly 560 570

Ala Asn Leu Asn Leu Ser Cys His Ser Ala Ser Asn Pro Ser Pro Gln 575 580 585 590

Tyr Ser Trp Arg Ile Asn Gly Ile Pro Gln Gln His Thr Gln Val Leu 595 600 605

Phe Ile Ala Lys Ile Thr Pro Asn Asn Gly Thr Tyr Ala Cys Phe 610 615 620

Val Ser Asn Leu Ala Thr Gly Arg Asn Asn Ser Ile Val Lys Ser Ile 625 630 635

Thr Val Ser Ala Ser Gln Tyr Ile Lys Ala Asn Ser Lys Phe Ile Gly 640 645 650

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Pro	tgg Trp -20	cag Gln	cgc Arg	ctg Leu	Leu	ctg Leu -15	aca Thr	gca Ala	agc Ser	ren .	ctg Leu -10	acc Thr	ttt Phe	tgg Trp	aat Asn		97
cca Pro -5	ccc Pro	acg Thr	Thr	Ala	aaa Lys 1	ctg Leu	act Thr	atc Ile	gag Glu 5	tct Ser	act Thr	cct Pro	Pile .	aac Asn 10	gtg Val	1	45
gcg Ala	gaa Glu	ggg Gly	aag Lys 15	gag Glu	gtt Val	ctg Leu	ctc Leu	ctg Leu 20	gtc Val	cat His	aat Asn	ctg Leu	cca Pro 25	cag Gln	cac His	1	.93
ctg Leu	ttt Phe	ggt Gly 30	tat Tyr	tca Ser	tgg Trp	tac Tyr	aaa Lys 35	ggc Gly	gaa Glu	cgc Arg	gtg Val	gat Asp 40	gga Gly	aac Asn	cgg Arg	2	241
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gaa Glu	a cto 1 Leu 365	ı Sei	a gtt r Val	gao L Asp	c cac His	agt Ser 370	: Asp	ccc Pro	gto Val	g ato	cto Let 375	ı Ası	gto Nal	c cto	g tat ı Tyr	1249

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ga o Asp	act Thr	c cc	2 att 5 Ile 575	e Ile	c tca e Sei	a cca	a cco	gat Asp 580	Se:	c agt	tai Ty:	t cto r Lev	g ago 1 Sei 585	C GT	gct Ala	1873
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	-															
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Met Arg	0> Glu Leu Ala -1	Ser Leu Lys 1	Pro Leu -15	Ser -30 Thr	Ala Ala	Pro Ser Glu 5	Pro Leu Ser	His Leu -10	Arg -25 Thr	Trp Phe	Trp	Ile Asn Val	Pro Pro -5	Trp -20 Pro	Gln	
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Pro	Lys	Pro	Ser	Ile 115	Ser	Ser	Asn	Asn	Ser 120	Lys	Pro	Val	Glu	Asp 125	Lys.
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Leu	Ser 160		Gly	Asn	Arg	Thr 165		Thr	Leu	Phe	Asn 170	Val	Thr	Arg	Asn
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Thr	: Ile	e Sei	210		. Asn	Thr	Ser	Tyr 215	Arg	Ser	: Gly	Glu	220	Leu	Asn
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	240	)				245	5				250	)			) Asn
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Glu Pro Pro Lys Pro Phe Ile Thr Ser Asn Asn Ser Asn Pro Val Glu

290

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28

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# (19) World Intellectual Property Organization International Bureau





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- (71) Applicant (for all designated States except US): PHARMEXA A/S [DK/DK]; Kogle Allé 6, DK-2970 Hørsholm (DK).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): KLYSNER, Steen [DK/DK]; c/o Pharmexa A/S, Kogle Allé 6, DK-2970 Hørsholm (DK). VOLDBORG, Bjørn [DK/DK]; c/o Pharmexa A/S, Kogle Allé 6, DK-2970 Hørsholm (DK).
- (74) Agent: KOEFOED, Peter; c/o Inspicos A/S, Bøge Allé 3, P.O. Box 45, DK-2970 Hørsholm (DK).

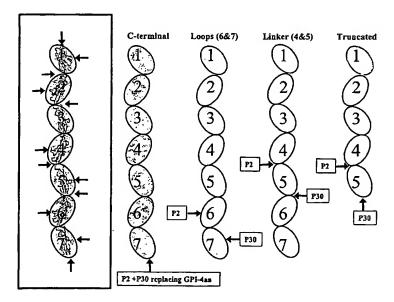
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
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  4 December 2003

[Continued on next page]

(54) Title: IMMUNOGENIC CARCINOEMBRYONIC ANTIGEN (CEA)



(57) Abstract: The present invention provides for methods for immunizing actively against autologous carcinoembryonic antigen (CEA). The method encompasses that the immune system is engaged with variant CEA which is either administered as a protein vaccine, or is effected expressed by nucleic acid vaccination or live/viral vaccination. Preferred embodiments include immunization with variants that include at least one foreign T-helper epitope introduced in the CEA sequence. The T helper epitope may for example be a tetanus toxoid epitope, such as the P2 and P30 epitopes. Also disclosed is variant proteins, DNA, vectors, and host cells useful for practicing the method of the invention.



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

#### INTERNATIONAL SEARCH REPORT

PCT/DK 03/00031

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K39/00 C07K14/715 A61P35/00 C12N15/11 //A61K39/08

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) I PC 7 A61K C07K C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, MEDLINE, WPI Data, PAJ

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
1	WO 00 20027 A (M & E BIOTECH A S;NIELSEN KLAUS GREGORIUS (DK); BIRK PETER (DK);) 13 April 2000 (2000-04-13) cited in the application claims 1-9,18-36,45-47,54,62,65	1-57
	. <del>-</del> /	

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family
Date of the actual completion of the international search  1 August 2003	Date of mailing of the international search report  1 8 AUGUST 2003
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer

European Patent Office, P.B. 3010 Faterina NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

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## INTERNATIONAL JEARCH REPORT

PLI/DK 03/00031

		PCT/DK 03/00031
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	NAIR S K ET AL: "Induction of carcinoembryonic antigen (CEA)-specific cytotoxic T-lymphocyte responses in vitro using autologous dendritic cells loaded with CEA peptide or CEA RNA in patients with metastatic malignancies expressing CEA."  INTERNATIONAL JOURNAL OF CANCER. JOURNAL INTERNATIONAL DU CANCER. UNITED STATES 2 JUL 1999, vol. 82, no. 1, 2 July 1999 (1999-07-02), pages 121-124, XP002249873 ISSN: 0020-7136 cited in the application the whole document	1-57
	WO 01 49317 A (AVENTIS PASTEUR LTD ;BARBER BRIAN H (CA); EMTAGE PETER (CA); SIA C) 12 July 2001 (2001-07-12) claims	1-57
	WO 99 19478 A (BARZAGA ELENE; US HEALTH (US); ZAREMBA SAM (US); SCHLOM JEFFREY (U) 22 April 1999 (1999-04-22) the whole document	1-57

## INTERNATIONAL SEARCH REPORT

PCT/DK 03/00031

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: 1-40, 55 because they relate to subject matter not required to be searched by this Authority, namely:  see FURTHER INFORMATION sheet PCT/ISA/210
2. X Claims Nos.: 41-43, 48 (partially) because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of Invention is lacking (Continuation of Item 2 of first sheet)
This International Searching Authority found multiple inventions in this International application, as follows:
1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were pald, specifically claims Nos.:
<u> </u>
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.
no protest accompanies the payment of accimonal scalor rees.

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Claims Nos.: 1-40, 55

Claims 1-40 and 55 relate to methods of treatment of the human or animal body by surgery or by therapy or diagnostic methods practised on the human or animal body (Rule 39.1(iv)). Nevertheless, a search has been executed for these claims. The search has been based on the alleged effects of the compounds or compositions.

Continuation of Box I.2

Claims Nos.: 41-43, 48 (partially)

Present claims 41-43 and 48 relate to an extremely large number of possible polypeptides and nucleic acid fragments. Support within the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the polypeptides and nucleic acid fragments claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts related to the polypeptides and nucleic acid fragments of SEQ ID Nos. 5-12. Further, the search has covered the general aspects of the invention to some extent.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

#### INTERNATIONAL SEARCH REPORT

PCT/DK 03/00031

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 0020027	A	13-04-2000	AU CA CN CZ WO EE HR HU JP NO PL TR	751709 B2 5851099 A 2345817 A1 1323217 T 20011049 A3 0020027 A2 200100203 A 1117421 A2 20010319 A1 0103976 A2 2002526419 T 20011586 A 347977 A1 200100936 T2	22-08-2002 26-04-2000 13-04-2000 21-11-2001 14-08-2002 13-04-2000 15-10-2002 25-07-2001 30-06-2002 28-02-2002 20-08-2002 31-05-2001 06-05-2002 21-08-2001
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